

EXHIBIT P

ITEM ①



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3571 2068
HUNG, CHIN

1966
04-09-2016 11-05-1993
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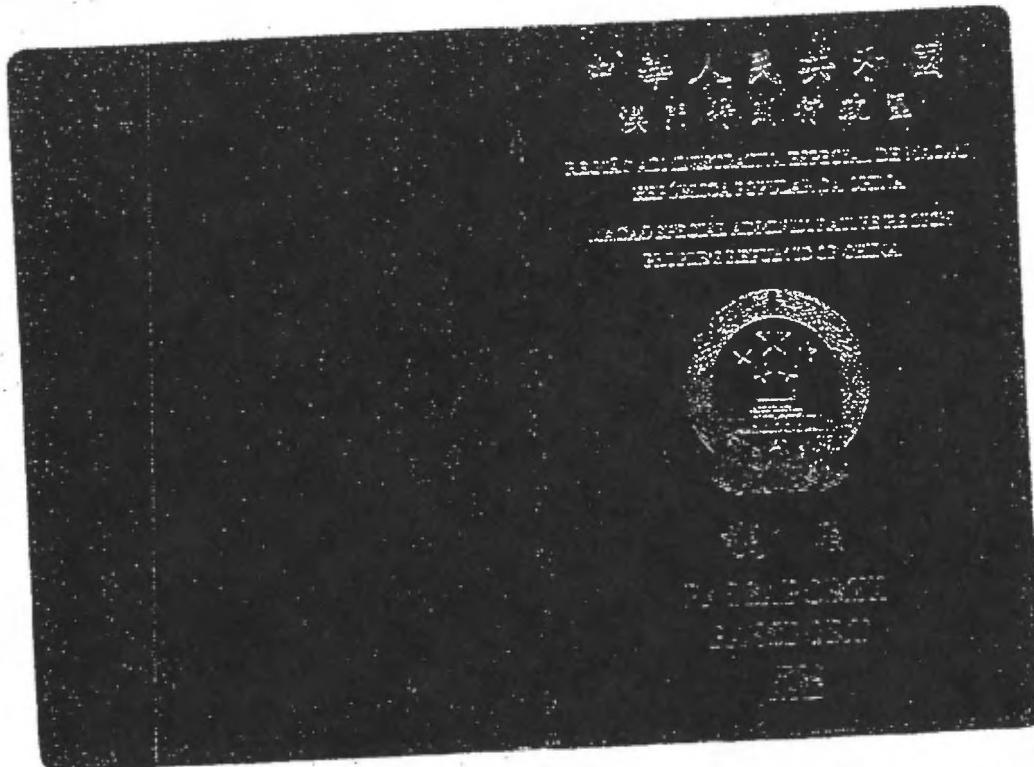
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SHUM WAH NIN LARRY
SFC Licensed Person (CE No:AOL348)
Date: 15 - 10 - 2014

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SHUM WAH NIN LARRY
SFC Licensed Person (CE No:AOL348)
Date : 19 - 10 - 2014





Certified True Copy of the Original

Date: 15 - 10 - 2014
SFC Licensed Person (CE No: AOL348)
SHUM WAH NIN LARRY



中國銀行(香港)有限公司
BANK OF CHINA (HONG KONG) LIMITED

COPY

G2

Mr HUNG CHIN
FLAT C 4/F BLOCK 2
THE ZENITH 258 QUEEN'S RD EAST
WANCHAI HK

012-926 -1

香港花園道一號	
客戶號	969-7
月結單日期	2014/08/29
頁數	1

綜合月結單

往來 / 儲蓄存款賬戶

戶口種類	賬戶號碼	貨幣	原幣結餘	等值港幣結餘 (結欠 DR) #1
往來賬戶 港元	684-4	HKD	6,738.39	6,738.39

往來 / 儲蓄存款賬戶交易記錄

日期/貨幣	交易摘要	提取	存入	原幣結餘 (結欠 DR)
往來賬戶 港元	: 684-4			
HKD B/F BAL.				5,500.00
2014/08/25 TRS	CHATS/12140825BKRI1155	2,321,238.39		2,326,738.39
2014/08/27 CCQ 222571		2,320,000.00		6,738.39

#1 今日參考牌價 (港元兌換)

種類	參考牌價	種類	參考牌價	種類	參考牌價	種類	參考牌價
AUD	7.2470250	BCU	3.8568552	CAD	7.1382518	CHF	8.4736497
CNY	1.2614548	DKK	1.3715609	EUR	10.2222500	GBP	12.8665500
HKD	1.0000000	INR	0.1280886	JPY	0.0745910	MOP	0.9599625
MYR	2.4579765	NGN	1.2538819	NZD	6.5030250	SEK	1.1131219
SGD	6.2124248	THB	0.2426425	TWD	0.2593014	USD	7.7500000

如欲查詢本月結單內並未列印的其他貨幣參考牌價，請聯絡本行職員。
 如選擇日後收取結單的英文版，請致電個人客戶熱線：3988 2388 或企業客戶熱線：3988 2288 辦理申請。
 If you wish to receive future statements in the English Version, please feel free to contact our
 Personal Customer Hotline at 39882388 / Corporate Customer Hotline at 39882288 for application.
 南洋商業銀行有限公司（「南商」），集友銀行有限公司及中銀信用卡（「國際」）有限公司是中國銀行（香港）有限公司（「中銀香港」）的附屬機構。南洋商業銀行（中國）有限公司（「南商中國」）是中銀香港通過其全資附屬機構南商在中國內地成立並全資擁有的一家商業銀行。客戶服務熱線：(86)800-830-2066（於中國內地以面網電話撥打）或 (852)2929-2988（於中國內地以外撥打）。網址：www.nobchina.cn。

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SHUM WAH NIN LARRY
SFC Licensed Person (CE No:AOL348)
Date: 15 - 10 - 2014

使用人民幣相關服務的非香港居民客戶（包括其賬戶的被授權簽字人），在簽發香港身份證後，須盡快攜同香港身份證、旅遊證件及中銀香港的存摺（如適用）親臨中銀香港任何一家分行辦理更新個人資料申請手續。如有查詢，請致電本行個人客戶服務熱線 (852) 3988 2388。
 Non-Hong Kong resident customers using the RMB-related services (including the authorised signatories of their accounts) should bring along their Hong Kong identity cards, travel documents and BOCHK passbook(s) (if applicable) to any of BOCHK's branches in person to update their personal particulars as soon as practicable should they have obtained Hong Kong identity cards. Please call our Personal Customer Service Hotline at (852) 3988 2388 for any enquiry.

A5

致： 中银国际证券有限公司
业务营运部

加开附属账户

本人/吾等为中银国际证券有限公司之客户，现在持有之账户资料如下：

账户名称：HUNG CHIN

账户号码：6696-

1. 请取消表格 A 内之本人/吾等现持之附属账户（「取消附属账户」）并继续持有及/或加开表格 B 内之附属账户（「附属账户」）

表格 A: 取消附属账户	表格 B: 附属账户
<input checked="" type="checkbox"/> 1000 <input type="checkbox"/> 2000 <input type="checkbox"/> 1888	<input type="checkbox"/> 1000 <input checked="" type="checkbox"/> 2000 <input type="checkbox"/> 1888
<input type="checkbox"/> 8000 <input type="checkbox"/> 8200 <input type="checkbox"/> 8300	<input type="checkbox"/> 8000 <input type="checkbox"/> 8200 <input type="checkbox"/> 8300
<input type="checkbox"/> 其他 (请注明): _____	<input type="checkbox"/> 其他 (请注明): _____

2. 网上服务

- 本人/吾等之附属账户不须要网上服务。
- 本人/吾等同意现有之网上登入名称及密码适用于所有附属账户；如适用。
- 本人/吾等确认未曾申请网上登入服务。本人/吾等现同意申请网上服务，此服务适用于所有附属账户；如适用。以下为 3 个本人/吾等希望能使用之登入名称。
(请提供 6 至 15 个数字或字母，不能加入空格或符号。)

1. _____
2. _____
3. _____

3. 本人/吾等现授权贵公司使用本人/吾等于早前申请账户时所提供之所有开户资料作为开立附属账户之用（「资料」）。本人/吾等确认本人/吾等之资料均与准确无误。

4. 资产组合之调动：

- 本人/吾等现授权贵公司就取消附属账户内之所有持仓（包括现金、证券及/或合约(如有)）转移至下列之附属账户：

1000 2000 1888 8000 8200 8300 其他 (请注明): _____

Signature
Verified By _____

29/12/2014

日期

客户签署

For Internal Use Only	
AE / RM Name (Closed Sub-account(s)) (Code: 611) <u>LARRY SHIN</u>	AE / RM Name (Sub-account(s)) (Code: 611) <u>CARRYSHIN</u>
AE / RM Signature (Closed Sub-account(s)) 	AE / RM Signature (Sub-account(s))
Team Head Signature (Closed Sub-account(s)) <u>Franki Lai</u> 30 DEC 2014	Team Head Signature (Sub-account(s)) <u>Franki Lai</u> 30 DEC 2014

ISD 083-C/01

账户申请表格

中銀國際 報券有限公司
BOCI Securities Limited

H3

中银国际证券有限公司
 香港中环花园道1号中银大厦20楼
 香港中环皇后大道中181号新纪元广场18楼
 中央编号 : AAC298

For internal use only

Sales / RM Code: AE611

Referral Code: _____

Account No.: _____

Group ID: _____

Comm Code: _____

Account risk level:

 high medium low

第A部份 基本资料

账户名称 (英文)

HUNG CHIN

账户号码 (适用于现有客户)

6696 -

账户种类 :

 个人账户 联名账户 - 请注明联名账户持有人总人数 公司账户

账户持有人之身份证 / 护照号码 (适用于个人 / 联名账户)

公司注册证明书编号 (适用于公司账户)

第B部份 需要服务种类

本人 / 吾等欲开立及维持交易账户，作为对以下产品交易之用：

 现金证券 开展证券 期货 / 指数期权 / 商品期权 股票期权 杠杆式外汇买卖 投资移民账户 其他 : _____

第C部份 申请网上交易服务**C - 1 网上证券交易服务**

需要 (请继续填写余下的C - 1部份) 不需要

本人 / 吾等愿意使用本人 / 吾等现有之网上证券账户登入名称登入本人 / 吾等新开立的证券账户。

本人 / 吾等愿意申请一个全新的网上证券账户登入名称以登入新开立的证券账户。

请提供三个网上账户登入名称选择 (6 - 15个数字或字母，不能加入空格和符号。例：paulchan123)

第一网上服务用户	第二网上服务用户 (联名账户必须填写，公司账户可选择性填写)
1.	1.
2.	2.
3.	3.

C - 2 网上期货及商品交易服务

需要 不需要

C - 3 网上杠杆式外汇交易服务

需要 不需要

第D部份 账户操作

现有客户不须填写此部份。新增产品交易的账户运作会按现有账户设定维持不变。

D - 1 签署安排 (只适用于联名账户)

有关决定吾等账户操作 (包括提款及资产转移) 之有效文件，吾等决定必须按照以下签署方式处理：

由任何单一账户持有人签署 由联名账户持有人同时签署

D - 2 公司通讯 (只适用于证券账户)

阁下是否希望收取有关未以阁下名义登记持有但存放于香港中央结算系统内证券之公司通讯？

否

是。本人 / 吾等现授权中银国际证券有限公司，向香港中央结算有限公司及 / 或有关过户登记处披露本人 / 吾等之名称及通讯地址。本人 / 吾等承诺若该等资料有所更改时，必须立即通知中银国际证券有限公司，并知悉就此事宜中银国际证券有限公司只负责向上述机构披露本人 / 吾等之名称及通讯地址。

D - 3 结单寄发	
<p>请选择下列其中一种方式收取结单：</p> <p><input checked="" type="checkbox"/> 电子结单： 电子日结单及月结单将发送至阁下的基本电邮地址</p> <p><input type="checkbox"/> 额外电邮地址以收取电子结单复本（只适用于公司账户） _____</p>	
<p>*倘若电邮通讯设备或其传送发生故障，中银国际证券有限公司保留权利，在不时及无需作任何事先通知的情况下，暂停或终止透过电邮向阁下发出结单。结单将以邮寄方式发送至阁下最后向我司提供之通讯地址。</p> <p><input type="checkbox"/> 书面结单 日结单及月结单将以邮寄方式发送至阁下之通讯地址（就联名账户，书面结单将寄至账户持有人之通讯地址）</p>	
<p>语言选择： <input type="checkbox"/> 英文 <input checked="" type="checkbox"/> 简体中文</p>	
D - 4 即时交易确认短讯	
<p><input type="checkbox"/> 需要 本人 / 吾等希望透过手提电话接收证券及 / 或期货及商品交易的即时确认短讯。请把即时确认短讯发送致账户持有人已登记的香港手提电话。</p> <p><input type="checkbox"/> 证券账户（申请人须同时申请网上证券交易服务（C-1部分））</p> <p><input type="checkbox"/> 期货 / 商品 / 股票期权账户</p> <p>即时交易确认短讯服务只适用于香港手提电话号码并视乎网络供应商是否提供该等服务。倘若电邮通讯设备或其传送发生故障，中银国际证券有限公司保留权利，在不时及无需作任何事先通知的情况下，暂停或终止向阁下发出交易确认短讯。</p> <p><input checked="" type="checkbox"/> 不需要</p>	
D - 5 每日成交总结（只适用于公司账户）	
<p><input type="checkbox"/> 需要（请继续填写余下的D - 5 部份） <input checked="" type="checkbox"/> 不需要</p>	
<p>请选择下列其中一种方式收取每日成交总结：</p>	
<p><input type="checkbox"/> 传真 <input checked="" type="checkbox"/> 公司传真号码 <input type="checkbox"/> 额外传真号码以收取交易总结复本 <input type="checkbox"/> _____</p>	
<p><input type="checkbox"/> 电邮 <input checked="" type="checkbox"/> 基本电邮地址 <input type="checkbox"/> 额外电邮地址以收取交易总结复本 <input type="checkbox"/> _____</p>	
<p>倘若通讯设备或其传送发生故障，中银国际证券有限公司保留权利，在不时及无需作任何事先通知的情况下，暂停或终止向阁下发出每日成交总结。</p>	

第E部份 申请人之声明

E - 1 申请人确认书

于签署此账户申请表格前，敬请：

- 注意所有与中银国际证券有限公司完成或透过中银国际证券有限公司进行之交易均受客户协议（定义请参阅下文）之条件及条款所限制；及
- 细阅已按照阁下所选择的语言（英文或中文）而提供予阁下的客户协议（包括风险披露声明），提出问题及征求独立的意见（如阁下有此意愿）。

（适用于新客户）

- 本人 / 吾等确认已收取中银国际证券有限公司之客户协议（包括协议所有部份及附录）、风险披露声明、客户资料声明、账户申请表格及其他与是次开户有关的协议及文件（如适用）（合称「客户协议」）及确认客户协议（包括风险披露声明）是按照本人 / 吾等所选择的语言（英文或中文）而提供，并已邀请本人 / 吾等阅读该客户协议（包括风险披露声明），提出问题及征求独立的意见（如本人 / 吾等有此意愿）。本人 / 吾等确认本人 / 吾等已仔细阅读，充分理解并接受载于客户协议内（包括风险披露声明）的所有条款和规定，并同意遵守客户协议（包括风险披露声明）可能会不时修改的所有条款和规定。

（适用于现有客户）

- 本人 / 吾等确认已备有早前由中银国际证券有限公司提供之客户协议（包括协议所有部份及附录）、风险披露声明、客户资料声明、账户申请表格及其他与是次开户有关的协议及文件（如适用）（合称「客户协议」）及并不需要另一套客户协议（包括风险披露声明）。本人 / 吾等明白本人 / 吾等有权随时要求中银国际证券有限公司提供另一套客户协议（包括风险披露声明）及 / 或本人 / 吾等随时可以于中银国际证券有限公司网页内获取一套客户协议（包括风险披露声明）。本人 / 吾等确认客户协议（包括风险披露声明）是按照本人 / 吾等所选择的语言（英文或中文）而提供，本人 / 吾等并已被邀请仔细阅读该客户协议（包括风险披露声明），提出问题及征求独立的意见（如本人 / 吾等有此意愿）。本人 / 吾等确认本人 / 吾等已仔细阅读，充分理解并接受载于客户协议内（包括风险披露声明）的所有条款和规定，并同意遵守客户协议（包括风险披露声明）可能会不时修改的所有条款和规定。

本人 / 吾等进一步确认及同意，若因：(一) 中银国际证券有限公司之失误而导致本人 / 吾等蒙受损失，责任赔偿将限于根据证券及期货条例成立之投资者赔偿基金规定的范围内（如适用）；(二) 假若证券寄存于中银国际证券有限公司或根据客户协议买入并于香港以外持有，本人 / 吾等便可能不能享有香港法例给予该等证券的同等保障，以及可能不能享有如同在香港持有该等证券的相同权利。

E - 2 申请人声明

就有关是次新开 / 新增账户之申请，本人 / 吾等特此授权中银国际证券有限公司使用本人 / 吾等在客户协议中所提供的资料（包括本人 / 吾等在申请现有账户时所提供的资料）（下称「客户资料」）。本人 / 吾等确认有关客户资料在任何方面均为属实、完整及正确，及对客户协议中的陈述于下述日期均为准确。中银国际证券有限公司获授权随时联络任何人，包括但不限于本人 / 吾等之银行、经纪、或任何信用机构，以求证实客户资料。

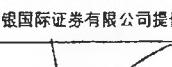
本人 / 吾等承诺如客户资料有任何变更，本人 / 吾等将尽快以书面通知中银国际证券有限公司。除非中银国际证券有限公司接收到本人 / 吾等更改有关内容的书面通知，中银国际证券有限公司有权在任何情况下完全依赖本人 / 吾等的证明及确认。

E - 3 申请人个人资料使用同意书

本人 / 吾等已仔细阅读，完全理解并同意接受并遵守载于客户协议附录一及二（如适用）有关个人资料（私隐）条例（香港法例第486章）的通知及声明。尤其是：

- 本人 / 吾等同意使用本人 / 吾等的客户资料作为与本人 / 吾等于中银国际证券有限公司开立或继续维持账户，及中银国际证券有限公司向本人 / 吾等提供金融服务有关的直接促销之用。
- 本人 / 吾等同意中银国际证券有限公司、其任何集团公司或其他仔细挑选之金融、保险、电信服务提供商使用本人 / 吾等的客户资料作为直接促销与本人 / 吾等于中银国际证券有限公司开立或继续维持之账户，及中银国际证券有限公司向本人 / 吾等提供金融服务无关的其他金融、保险或电讯产品 / 服务之用。

(申请公司账户适用)	
<input type="checkbox"/> 如吾等在与中银国际证券有限公司进行业务交易过程中向中银国际证券有限公司提供或被中银国际证券有限公司所持有吾等任何代表(包括董事、雇员、代理、客户(直接或间接)或关联方)或任何第三方的个人资料或数据,吾等承诺并声明:吾等已经获得吾等代表的相关同意,准许中银国际证券有限公司根据客户协议附录一和附录二中所述目的和要求使用、处理、处置、分享或转移这些资料或数据,并且吾等同意将在中银国际证券有限公司提出请求时及时向中银国际证券有限公司提供有关上述同意的证明。	
E - 4 申请人签署	
个人 / 联名账户适用	
账户持有人签署 	账户第二/ 其他持有人签署 Signature Verified By <u>Tom</u>
姓名 <u>HUNG CHIN</u>	姓名
日期 <u>29/12/2014</u>	日期
公司账户适用	
签署 (公司印章 (如适用))	获授权人士姓名
	获授权人士姓名 (如有)
	日期

持牌人士声明 本人在此确认：	
<input checked="" type="checkbox"/> 本人已核查上述申请人之身份证明文件或公司注册文件之原本，并见证上述申请人之签名。	
<input checked="" type="checkbox"/> 账户申请人已被提供按照其选择语言(英文或中文)的客户协议(包括风险披露声明)，本人并且已邀请账户申请人阅读该客户协议(包括风险披露声明)、提出问题及征求独立的意见(如账户申请人有此意愿)。	
<input checked="" type="checkbox"/> 本人已向账户申请人解释，假若账户申请人现受雇于任何从事证券及期货条例界定的受规管活动的持牌法团或注册机构，账户申请人必须向中银国际证券有限公司提供其雇主所发出的同意书以支持此账户之申请。	
持牌人士签署	
持牌人士姓名	CARRY SHUM
中央编号	AOL348
日期	2012 / 2014

**CUSTOMER INFORMATION STATEMENT -
INDIVIDUAL / JOINT ACCOUNT**



中銀國際 搶券有限公司
BOCI Securities Limited

BOCI Securities Limited ("BOCIS")
20/F, Bank of China Tower, 1 Garden Road, Hong Kong
18/F, Grand Millennium Plaza, 181 Queen's Road Central, Hong Kong
CE No.: AAC298

Please complete in BLOCK letters.

TYPE OF ACCOUNT	
<input checked="" type="checkbox"/> Individual Account	
<input type="checkbox"/> Joint Account (Each of the joint account holders (other than the principal account holder) shall complete the Customer Information Statement - Joint Account Holder)	

PRINCIPAL / SOLE ACCOUNT HOLDER'S PERSONAL INFORMATION	
Name In English HUNG CHIN	Name In Chinese 熊戰
Former Name / Alias in English (if any) /	Former Name / Alias in Chinese (if any) /
I.D. / Passport No. [REDACTED]	Gender <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female
Nationality CHINESE	Other Nationality (if applicable) /
Date of Birth [REDACTED] 1966 YYYY	Place of Birth GUANGDONG
U.S. Tax Resident <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	U.S. Green Card Holder <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Home Phone No. [REDACTED]	Mobile Phone No. 66601729 853-666-0172
Fax No. [REDACTED]	Contact No. (phone or fax) contains U.S. Country Code <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Primary Email Address hungchin@126.com	
This email address shall be used for accounts' operation, activation of online trading service and receipt of all correspondence, including electronic statement (if applicable)	



Residential Address (P.O. Box not accepted)			
FLAT C 46/F BLOCK 2 THE ZENITH 258 QUEEN'S RD EAST WANCHAI HK <small>(Postal Code:)</small>			
Permanent Address (if different from above) (P.O. Box not accepted)			
(Postal Code:)			
Nature of Occupancy			
<input type="checkbox"/> With Parents <input type="checkbox"/> Owned (No mortgage)		<input checked="" type="checkbox"/> Mortgaged / Monthly Installment \$ <u>20k</u>	
<input type="checkbox"/> Relative's <input type="checkbox"/> Staff Quarters		<input type="checkbox"/> Rented / Monthly Rental \$ _____	
Employment Status			
<input type="checkbox"/> Self-employed <input checked="" type="checkbox"/> Full-time Employed		<input type="checkbox"/> Part-time Employed <input type="checkbox"/> Not Currently Employed	
<input type="checkbox"/> Retired <input type="checkbox"/> Full-time Student		<input type="checkbox"/> Housewife <input type="checkbox"/> Others (Please specify) _____	
Employer's Name		Nature of Business	
馮福網絡安全諮詢有限公司 馮福網絡安全諮詢有限公司		IT	
Office Phone No.	Year(s) with Existing Employer	Position	
<u>852 3111 6660 1729</u>	<u>20 year</u>	<u>CEO</u>	
Office Address			
七澤公路 蘭亭花園 248七澤公路蘭亭花園12樓E			
Correspondence Address			
<input checked="" type="checkbox"/> Residential <input type="checkbox"/> Permanent <input type="checkbox"/> Office <input type="checkbox"/> Others _____			
Relationship with Joint Account Holder(s) (for joint account only)			

LICENSED / REGISTERED PERSON DECLARATION

Are you a licensed / registered person, or an employee of a licensed corporation / registered institution (e.g. employee of a brokerage firm / bank) as defined under the Securities and Futures Ordinance (Cap. 571 of the Laws of Hong Kong)?

No Yes, please specify: _____

Do you have any relative(s) working in BOCI Group (which includes BOC International Holdings Limited and its subsidiaries)?

No Yes, please specify: _____

Name	Relationship
------	--------------

ULTIMATE BENEFICIAL OWNER(S) OF THE ACCOUNT

Are you the ultimate beneficial owner(s) of the Account? (This information is required under the Client Identity Rule Policy of the Securities and Futures Commission.)

Yes No, please provide details of the ultimate beneficial owner(s):

Name in English	Name in Chinese		
Former Name / Alias in English (if any)	Former Name / Alias in Chinese (if any)		
I.D. / Passport No.	Gender	<input type="checkbox"/> Male	<input type="checkbox"/> Female
Nationality	Other Nationality (if applicable)		
Date of Birth dd mm yyyy	Place of Birth		
U.S. Tax Resident <input type="checkbox"/> Yes <input type="checkbox"/> No	U.S. Green Card Holder <input type="checkbox"/> Yes <input type="checkbox"/> No		
Contact No. [REDACTED]	Contact No. (phone and/or fax) contains U.S. Country code <input type="checkbox"/> Yes <input type="checkbox"/> No		
Address [REDACTED]			
Do you, your spouse or any company controlled by you (with 35% or more voting rights) maintain any other account(s) with BOCIS? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes, please provide details:			
Account Name	Account No.		
Account Name	Account No.		

APPLICANT'S BANK DETAILS

Funds will be transferred to the following bank accounts pursuant to your fund withdrawal instruction.

Bank Account (Hong Kong) USD HKD

Bank Name

BOC(HK)

Account Holder's Name

HUNG CHIN

Account No.

[REDACTED] 684-4

Bank Account (Overseas)

Bank Name

Bank Address

Account Holder's Name

Account No.

Currency

APPLICANT'S FINANCIAL PROFILE AND INVESTMENT EXPERIENCE			
(This information is required under the "know-your-client requirements" of the Securities and Futures Commission.)			
Income and Asset			
Estimated Annual Income (HK\$)	Approximate Net Asset Value (HK\$) (Total Assets - Total Liabilities)		
<input type="checkbox"/> Under HK\$200,000 <input checked="" type="checkbox"/> HK\$200,000 - HK\$500,000 <input checked="" type="checkbox"/> HK\$500,001 - HK\$1,000,000 <input type="checkbox"/> HK\$1,000,001 - HK\$5,000,000 <input type="checkbox"/> Above HK\$5,000,000 <input type="checkbox"/> Other Income Source: _____	<input type="checkbox"/> Under HK\$500,000 <input type="checkbox"/> HK\$500,000 - HK\$3,000,000 <input checked="" type="checkbox"/> HK\$3,000,001 - HK\$5,000,000 <input type="checkbox"/> HK\$5,000,001 - HK\$30,000,000 <input type="checkbox"/> Above HK\$30,000,000		
Educational Background			
<input type="checkbox"/> Primary School <input type="checkbox"/> Secondary School <input checked="" type="checkbox"/> University or above <input type="checkbox"/> Others: _____			
Source(s) of Funds for This Account (you may choose more than one option)			
<input type="checkbox"/> Sale of Property <input checked="" type="checkbox"/> Savings from Earnings / Bonus <input type="checkbox"/> Sale of Investments <input type="checkbox"/> Pension <input type="checkbox"/> Return on Investments <input type="checkbox"/> Inheritance / Gift <input type="checkbox"/> Commission <input type="checkbox"/> Others, please specify: _____			
Investment Objective(s) and Strategy(ies) (you may choose more than one option)			
<input type="checkbox"/> Capital Preservation <input checked="" type="checkbox"/> Building Wealth <input type="checkbox"/> Speculation <input type="checkbox"/> Regular Income <input type="checkbox"/> Beat Inflation <input type="checkbox"/> Hedging <input type="checkbox"/> Retirement <input type="checkbox"/> Children Education Fund <input type="checkbox"/> Others: _____			
Investment Period			
<input type="checkbox"/> Short Term (i.e. less than 1 year) <input checked="" type="checkbox"/> Short to Medium Term (i.e. 1 to 5 years) <input type="checkbox"/> Medium Term (i.e. 6 to 10 years) <input type="checkbox"/> Long Term (i.e. over 10 years)			
Investment Experience			
Products	Frequency of Transactions Per Annum		Investment Experience (year)
Listed Shares	<input type="checkbox"/> < 20	<input checked="" type="checkbox"/> 20 - 50	<input type="checkbox"/> Nil <input type="checkbox"/> < 1 <input type="checkbox"/> 1 - 5 <input checked="" type="checkbox"/> > 5
Debt Securities / Bonds	<input type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input checked="" type="checkbox"/> Nil <input type="checkbox"/> < 1 <input type="checkbox"/> 1 - 5 <input type="checkbox"/> > 5
Leveraged Foreign Exchange	<input type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input checked="" type="checkbox"/> Nil <input type="checkbox"/> < 1 <input type="checkbox"/> 1 - 5 <input type="checkbox"/> > 5
Commodities / Bullion / Precious Metals	<input type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input checked="" type="checkbox"/> Nil <input type="checkbox"/> < 1 <input type="checkbox"/> 1 - 5 <input type="checkbox"/> > 5
OTC Structured Products			
• Principal Protected Products	<input type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input checked="" type="checkbox"/> Nil <input type="checkbox"/> < 1 <input type="checkbox"/> 1 - 5 <input type="checkbox"/> > 5

• Non-Principal Protected Products							
Vanilla Derivatives	<input type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> > 50	<input checked="" type="checkbox"/> Nil	<input type="checkbox"/> < 1	<input type="checkbox"/> 1 - 5	<input type="checkbox"/> > 5
Exotic Derivatives	<input type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> > 50	<input checked="" type="checkbox"/> Nil	<input type="checkbox"/> < 1	<input type="checkbox"/> 1 - 5	<input type="checkbox"/> > 5
Exchange Traded Derivative Products (traded in Hong Kong or elsewhere)							
• Warrants <input type="checkbox"/> Hong Kong <input type="checkbox"/> other exchanges (please specify)	<input type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> > 50	<input checked="" type="checkbox"/> Nil	<input type="checkbox"/> < 1	<input type="checkbox"/> 1 - 5	<input type="checkbox"/> > 5
• Callable Bull / Bear Contracts <input type="checkbox"/> Hong Kong <input type="checkbox"/> other exchanges (please specify)	<input type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> > 50	<input checked="" type="checkbox"/> Nil	<input type="checkbox"/> < 1	<input type="checkbox"/> 1 - 5	<input type="checkbox"/> > 5
• Futures and Options Contracts <input type="checkbox"/> Hong Kong <input type="checkbox"/> other exchanges (please specify)	<input type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> > 50	<input checked="" type="checkbox"/> Nil	<input type="checkbox"/> < 1	<input type="checkbox"/> 1 - 5	<input type="checkbox"/> > 5
• Equity Linked Notes / Equity Linked Instruments <input type="checkbox"/> Hong Kong <input type="checkbox"/> other exchanges (please specify)	<input type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> > 50	<input checked="" type="checkbox"/> Nil	<input type="checkbox"/> < 1	<input type="checkbox"/> 1 - 5	<input type="checkbox"/> > 5
• Other Products (please specify) <input type="checkbox"/> Hong Kong <input type="checkbox"/> other exchanges (please specify)	<input type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> > 50	<input checked="" type="checkbox"/> Nil	<input type="checkbox"/> < 1	<input type="checkbox"/> 1 - 5	<input type="checkbox"/> > 5
Investment Funds							
• Mutual Funds / Unit Trusts	<input checked="" type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> > 50	<input type="checkbox"/> Nil	<input type="checkbox"/> < 1	<input type="checkbox"/> 1 - 5	<input checked="" type="checkbox"/> > 5
• Hedge Funds / Private Equity Funds	<input type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> > 50	<input checked="" type="checkbox"/> Nil	<input type="checkbox"/> < 1	<input type="checkbox"/> 1 - 5	<input type="checkbox"/> > 5
• Leveraged and Specialty Exchange Traded Funds	<input type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> > 50	<input checked="" type="checkbox"/> Nil	<input type="checkbox"/> < 1	<input type="checkbox"/> 1 - 5	<input type="checkbox"/> > 5
• Other Funds (please specify)	<input type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> > 50	<input checked="" type="checkbox"/> Nil	<input type="checkbox"/> < 1	<input type="checkbox"/> 1 - 5	<input type="checkbox"/> > 5
Other relevant investment experience:							

APPLICANT'S DECLARATION

I represent that all information contained in this Customer Information Statement is in all respects true, complete and correct. BOCIS is authorized at any time to contact any person, including but without limitations to my banks, brokers or any credit agency, for purposes of verifying the customer information contained herein.

I declare that I am not currently employed by any entity licensed or registered to carry on regulated activities as defined in the Securities and Futures Ordinance (Cap. 571 of the Laws of Hong Kong). Otherwise, I understand that I am required to provide BOCIS written consent from my employer before I open and operate any account with BOCIS. I undertake to promptly notify BOCIS if I become or cease to be employed by any entity licensed or registered to carry out regulated activities.

I undertake to notify BOCIS in writing forthwith upon any changes to any of the information contained in this Customer Information Statement. BOCIS is entitled to rely fully on any of my certification and confirmation contained herein for all purposes, unless BCCIS receives notice in writing of any changes thereof.

APPLICANT'S SIGNATURE

(THIS SIGNATURE WILL BE TREATED AS SPECIMEN SIGNATURE)

Applicant's Signature:



Date:

15 - 10 - 2014

ACCOUNT APPLICATION FORM

中銀國際證券有限公司
BOCI Securities Limited

A3

BOCI Securities Limited ("BOCIS")
 20/F, Bank of China Tower, 1 Garden Road, Hong Kong
 18/F, Grand Millennium Plaza, 181 Queen's Road Central, Hong Kong
 CE No.: AAC298

For internal use only	AE611	
Sales / RM Code:		
Referral Code:		
Account No.:		
Group ID.:		
Comm Code.:		
Account risk level:		
<input checked="" type="checkbox"/> high	<input checked="" type="checkbox"/> medium	<input type="checkbox"/> low

Please complete in BLOCK letters.

SECTION A GENERAL INFORMATION

Account Name

HUNG CHIN

Account No. (for existing customer)

Type of Account:

- Individual Account
- Joint Account - Please state the total number of joint account holders:
- Corporate Account

I.D. / Passport No. of Principal Account Holder
(for individual / joint account)Certificate of Incorporation No.
(for corporate account)

SECTION B TYPE OF SERVICE REQUIRED

I / We would like to open and maintain trading account(s) to trade the following product(s):

- | | |
|--|---|
| <input checked="" type="checkbox"/> Cash Securities | <input type="checkbox"/> Margin Securities |
| <input type="checkbox"/> Futures / Index Options / Commodity Options | <input type="checkbox"/> Stock Options |
| <input type="checkbox"/> Leveraged Foreign Exchange Trading | <input type="checkbox"/> Designated Account for Capital Investment Entrant Scheme |
| <input type="checkbox"/> Others: _____ | |



SECTION C SUBSCRIPTION OF ONLINE TRADING SERVICE**C – 1 Online Trading Service for Securities Trading**

Required (Please continue to complete the rest of C-1) Not Required

I / We wish to use my / our existing online securities account login ID for my / our new securities trading account.

I / We wish to subscribe a new online securities account login ID for my / our new securities trading account.

Please provide 3 choices for online account login ID (6-15 alphanumeric, no space and symbol, e.g. paulchan123)

First Online Service User	Second Online Service User (Mandatory for joint account and optional for corporate account)
1. <i>USER8199</i>	1.
2.	2.
3.	3.

C – 2 Online Trading Service for Futures and Commodities Trading

Required Not Required

C – 3 Online Leveraged Foreign Exchange Trading

Required Not Required

SECTION D OPERATION OF ACCOUNT

Existing customer is not required to complete this section. The operation of account for new additional product(s) shall be same as the existing settings.

D – 1 Signing Arrangement (for joint account only)

We decide that the valid document in connection with the operation (including fund withdrawal and asset transfer) of our account must be signed in the following manner:

Singly by either account holder Jointly by joint account holders

D – 2 Corporate Communication (for securities account only)

Do you wish to receive the corporate communication for securities held in the CCASS Depositary in Hong Kong of which you are the non-registered underlying owner(s)?

No

Yes, I / we hereby authorize BOCIS to disclose my / our name and correspondence address to the Hong Kong Securities Clearing Company Limited and / or the relevant registrars of the listed issuers. I / We undertake to advise BOCIS immediately of any change of such particulars and acknowledge that the sole duty of BOCIS in this respect is to dispatch my / our name and correspondence address to the aforesaid entities.

D – 3 Delivery of Statements

Please choose one of the following media for the delivery of statements:

 Electronic Statement*

Daily and monthly electronic statements will be sent to your primary email address

Additional email address for duplicate statements (for corporate account only)

*BOCIS reserves the right from time to time and without prior notification to suspend or discontinue the delivery of statements by email resulting from the breakdown or failure of the transmission or communication facilities. Statements will be sent to your latest correspondence address provided to BOCIS.

 Physical Statement

Daily and monthly statements will be sent to your correspondence address by mail. (for joint account, statements will be sent to the correspondence address of the principal account holder)

Choice of Language: English Simplified Chinese

D – 4 SMS Instant Trade Confirmation
 Required

I / We wish to receive execution results of the securities and / or futures and commodities orders via mobile phone's Short Message Service ("Instant Trade Confirmation"). Please send Instant Trade Confirmation to the registered Hong Kong mobile number of the principal account holder.

Securities Account (applicant has to subscribe online trading service for securities trading (Section C-1) simultaneously)

Futures/ Commodities/ Stock Options Account(s)

Instant Trade Confirmation service is only available to Hong Kong mobile number only, subject to service availability of network providers. BOCIS reserves the right from time to time and without prior notification to suspend or discontinue the delivery of Instant Trade Confirmation resulting from the breakdown or failure of the transmission or communication facilities.

 Not Required
D – 5 Daily Trade Summary (for corporate account only)
 Required (Please continue to complete the rest of D-5)

Not Required

Please choose one of the following media for receiving daily trade summary:

 Fax

Office fax no.

Additional fax no. for duplicate trade summary

 Email

Primary email address

Additional email address for duplicate trade summary

BOCIS reserves the right from time to time and without prior notification to suspend or discontinue the delivery of daily trade summary resulting from the breakdown or failure of the transmission or communication facilities.

SECTION E APPLICANT'S DECLARATION

E - 1 Applicant's Acknowledgement and Confirmation

Prior to signing this Account Application Form, please:

- note that all transactions to be concluded with or through BOCIS shall be subject to the terms and conditions of the Customer Agreement (as defined below); and
- read the Customer Agreement (including Risk Disclosure Statement) which you have been provided in a language (English or Chinese) of your choice carefully, ask questions and take independent advice if you so wish.

(For New Customer)

I / We acknowledge my / our receipt of the Customer Agreement of BOCIS (including all Sections thereunder and Appendices thereto), the Risk Disclosure Statement, the Customer Information Statement, the Account Application Form and any other agreements or documents related to the opening of the account(s), whenever applicable (collectively the "Customer Agreement") and confirm that the Customer Agreement (including Risk Disclosure Statement) were provided to me / us in a language (English or Chinese) of my / our choice and I / we was / were invited to read the Customer Agreement (including Risk Disclosure Statement) carefully, ask questions and take independent advice if I / we so wish. I / We confirm that I / we have carefully read, fully understood and accepted all the terms and provisions contained in the Customer Agreement (including Risk Disclosure Statement) and shall be bound by the Customer Agreement (including Risk Disclosure Statement) as it may be amended from time to time.

(For Existing Customer)

I / We confirm that I / we have the Customer Agreement of BOCIS (including all Sections thereunder and Appendices thereto), the Risk Disclosure Statement, the Customer Information Statement, the Account Application Form and any other agreements or documents related to the opening of the account(s), whenever applicable (collectively the "Customer Agreement") which I / we have previously been provided with and do not require another copy of the Customer Agreement (including Risk Disclosure Statement). I / We understand that I am / we are entitled to request a further copy of the Customer Agreement (including Risk Disclosure Statement) at any time from BOCIS and I / we can access the Customer Agreement (including Risk Disclosure Statement) at any time on the website of BOCIS. I / We confirm that the Customer Agreement (including Risk Disclosure Statement) were provided to me / us in a language (English or Chinese) of my / our choice and I / we was / were invited to read the Customer Agreement (including Risk Disclosure Statement) carefully, ask questions and take independent advice if I / we so wish. I / We confirm that I / we have carefully read, fully understood and accepted all the terms and provisions contained in the Customer Agreement (including Risk Disclosure Statement) and shall be bound by the Customer Agreement (including Risk Disclosure Statement) as it may be amended from time to time.

I / We further acknowledge and agree that: (a) in the event of BOCIS' default and me / us having incurred a loss as a result thereof, the liability of the Investor Compensation Fund established under the Securities and Futures Ordinance (where applicable) will be restricted to the extent provided for therein, and (b) where securities are deposited with BOCIS or purchased pursuant to the Customer Agreement and held outside Hong Kong, I / we may not be afforded equivalent protection in respect of those securities as that afforded under Hong Kong law and I / we may not have the same rights as I / we have in respect of securities held in Hong Kong.

E - 2 Applicant's Declaration

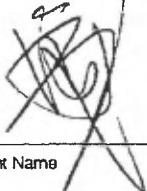
For the purpose of opening any new / additional Account(s), I / we hereby authorize BOCIS to use the information provided by me / us in the Customer Agreement (including information previously provided by me / us for the existing Account(s)) to BOCIS ("Customer Information"). I / We represent that all Customer Information is in all respects true, complete and correct and that the representations in the Customer Agreement are accurate as at the date hereof. BOCIS is authorized at any time to contact any person, including but without limitations to my / our banks, brokers or any credit agency, for purposes of verifying the Customer Information.

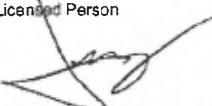
I / We undertake to notify BOCIS in writing forthwith upon any changes in any such Customer Information. BOCIS is entitled to rely fully on any of my / our certification and confirmation contained for all purposes, unless BOCIS receives prior notice in writing of any changes thereof.

E - 3 Applicant's Consent to Use of Personal Data

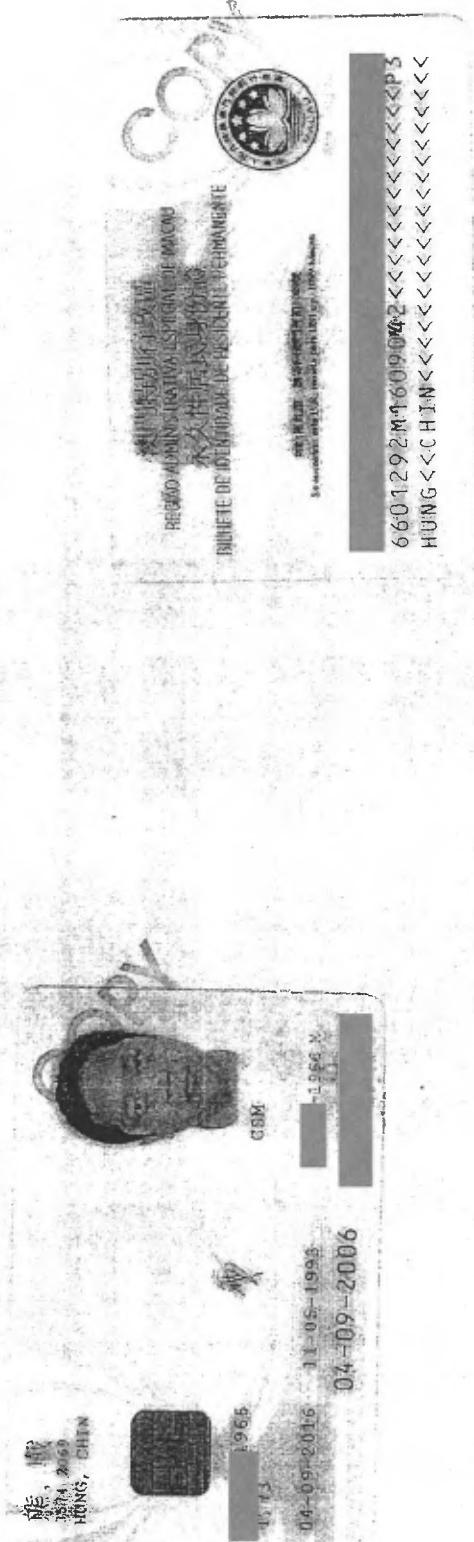
I / We have carefully read, fully understood and agreed to accept and be bound by the Notice and Statement Relating to the Personal Data (Privacy) Ordinance (Cap. 486 of the Laws of Hong Kong) as set out in Appendix I and II (as applicable) to the Customer Agreement. In particular,

- I / We hereby consent to the use of my / our Customer Information for direct marketing directly related to the opening or continuation of my / our accounts with BOCIS and the provision of financial services by BOCIS to me / us.
- I / We hereby consent to the use of my / our Customer Information for direct marketing by BOCIS, any Group Company or other carefully selected financial, insurance, telecommunications service providers of other financial, insurance or telecommunications products and / or services not directly related to the opening or continuation of my / our accounts with BOCIS and the provision of financial services by BOCIS to me / us.

(Corporate account applicant only)	
<input type="checkbox"/> Where personal data or information relating to any of our representatives (including directors, employees, agents, customer (direct or indirect) or affiliates) or any third party is provided to or held by BOCIS in the course of its business dealings with BOCIS, we undertake and represent that we have obtained the relevant consent of our representatives to enable BOCIS to use, process, deal, share or transfer such data or information in accordance with the purposes and requirements of Appendix I and II (as applicable) of the Customer Agreement and we agree that we will promptly provide evidence of such consents as and when requested by BOCIS.	
E - 4 Applicant's Signature	
<i>For Individual / Joint Account</i>	
Principal Account Holder's Signature 	Second / Other Account Holder's Signature
Print Name HUNG CHIN	Print Name
Date 15/10/2014	Date
<i>For Corporate Account</i>	
Signature (company chop (if any)) 	Print Name of Authorized Person
	Print Name of Authorized Person (if any) 
	Date

FOR INTERNAL USE ONLY	
Licensed Person's Declaration I hereby solemnly swear that:	
<input checked="" type="checkbox"/> I have witnessed the signature and inspected the original identity or company establishment documents of the above-named applicant.	
<input checked="" type="checkbox"/> The applicant has been provided with the Customer Agreement (including Risk Disclosure Statement) in the language of the applicant's choice (English or Chinese); and the applicant has been invited to read the Customer Agreement (including Risk Disclosure Statement), ask questions and take independent advice if he / she so wishes.	
<input checked="" type="checkbox"/> I have already explained to the applicant that if he / she is currently employed by any registered institution or licensed corporation to carry on regulated activities, the applicant is required to provide BOCIS a written consent from his / her employer in support of this account application.	
Signature of Licensed Person 	Print Name of Licensed Person CARRY SHUM
CE No. AOL 348	Date 15 - 10 - 2014

CONFIDENTIAL



Certified True Copy of the Original

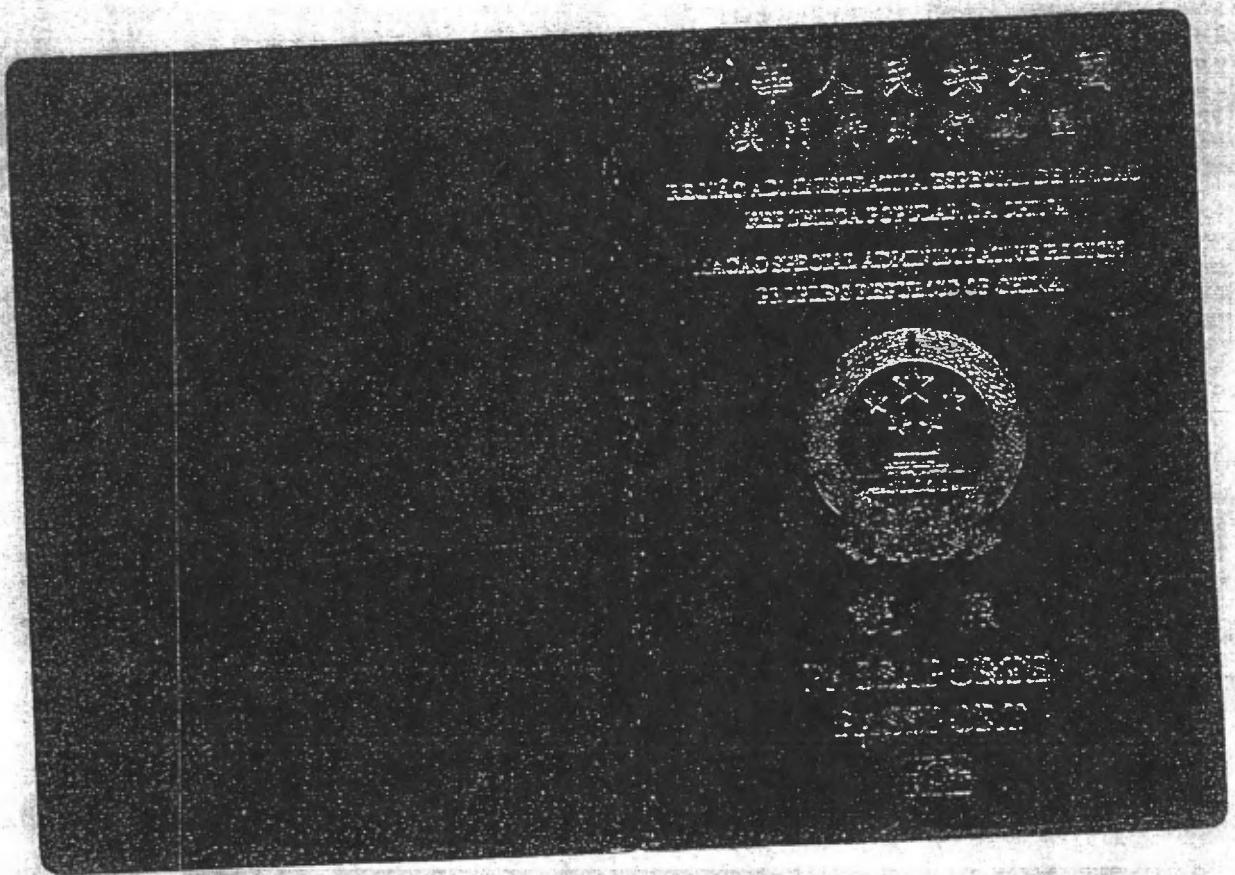
SHUM WAH NIN LARRY
SFC Licensed Person (CE No:AOL348)
Date : 15 - 10 - 2014

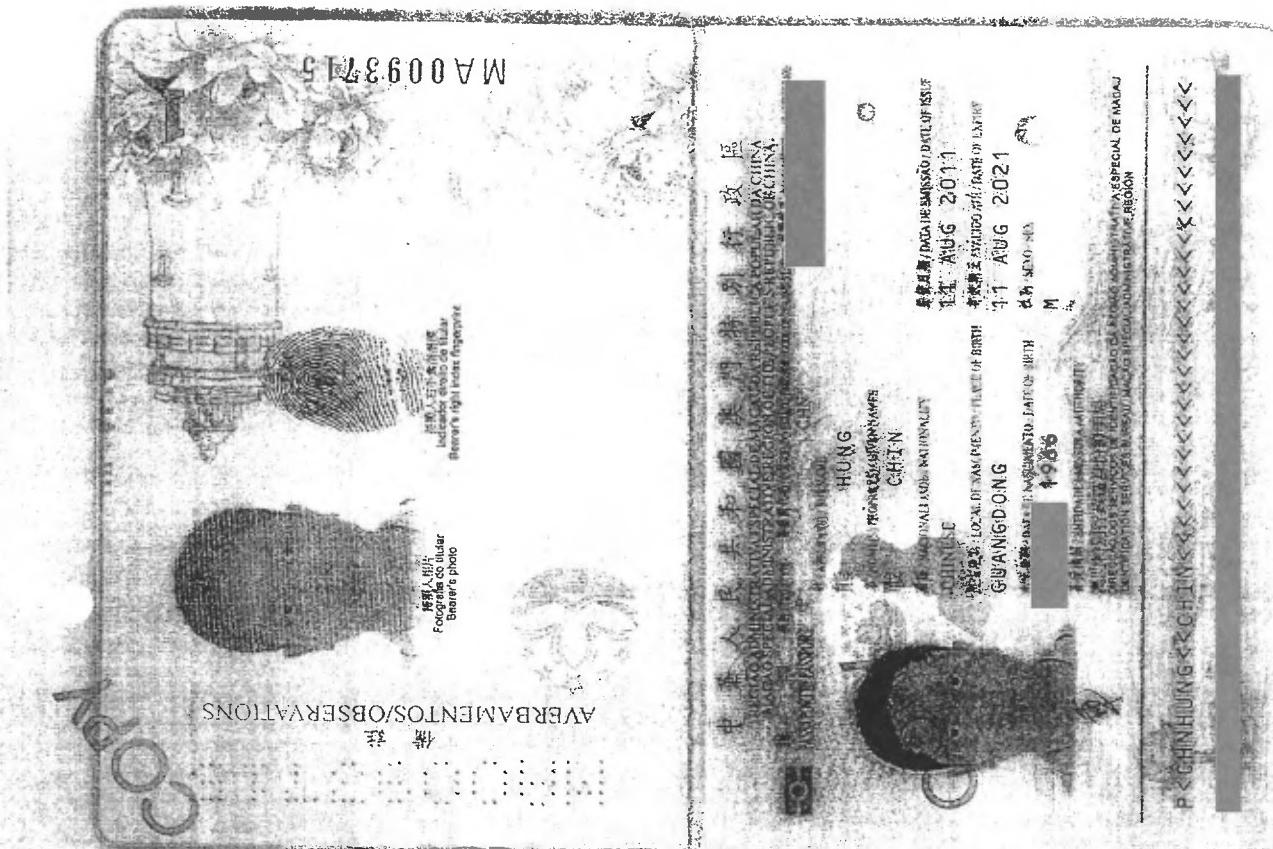
3

Certified True Copy of the Original

SHUM WAH NIN LARRY
SFC Licensed Person (CE No:ACL348)

Date: 19 - 10 , 2014





(S - 10 - 2014)
Date:
SFC Licensed Person (CE No.: AOL348)
SHUM WAH NIN LARRY

Certified True Copy of the Original



中國銀行(香港)有限公司
BANK OF CHINA (HONG KONG) LIMITED

COPY

62

Mr HUNG CHIN
FLAT C 46/F BLOCK 2
THE ZENITH 258 QUEEN'S RD EAST
WANCHAI HK

012-926 -1

香港花園道一號

客戶號	969-7
月結單日期	2014/08/29
頁數	1

綜合月結單

往來 / 儲蓄存款賬戶

戶口種類	賬戶號碼	貨幣	原幣結餘	等值港幣結餘 (結欠 DR) #1
往來賬戶 港元	684-4	HKD	6,738.39	6,738.39

往來 / 儲蓄存款賬戶交易記錄

日期/貨幣	交易摘要	提取	存入	原幣結餘 (結欠 DR)
往來賬戶 港元		684-4		
HKD B/F BAL.				5,500.00
2014/08/25 TRS	CHATS/12140825BKRR11155		2,321,238.39	2,326,738.39
2014/08/27 CCQ 222571		2,320,000.00		6,738.39

#1 今日參考牌價 (港元兌換)

種類	參考牌價	種類	參考牌價	種類	參考牌價	種類	參考牌價
AUD	7.2470250	BCU	3.8568552	CAD	7.1382518	CHF	8.4736497
CNY	1.2614548	DKK	1.3715600	EUR	10.2222500	GBP	12.8665500
HKD	1.0000000	INR	0.1280886	JPY	0.0745910	MOP	0.9699625
NOK	2.4579765	NOK	0.2538019	NZD	6.5030250	SEK	1.1131219
SGD	6.2124248	THB	0.2426425	TWD	0.2593014	USD	7.7500000

如欲查詢本月結單內並未列印的其他貨幣參考匯率...請聯絡本行職員。
 如選擇日後收取結單的英文版...請致電個人客戶熱線：3988 2388 或企業客戶熱線：3988 2288 辦理申請。
 If you wish to receive future statements in the English Version, please feel free to contact our Personal Customer Hotline at 39882388 / Corporate Customer Hotline at 39882288 for application.
 南洋商業銀行有限公司（「南商」）、集友銀行有限公司及中銀信用卡（國際）有限公司是中國銀行（香港）有限公司（「中銀香港」）的附屬機構。南洋商業銀行（中國）有限公司（「南商中國」）是中銀香港通過其全資附屬機構南商在中國內地成立並全資擁有的一家商業銀行。客戶服務熱線：(86)800-830-2066(於中國內地以固網電話撥打) 或 (852)2929-2988(於中國內地以外撥打) 網址 :www.ncbchina.cn。

* * * 完 * * *

Certified True Copy of the Original

SHUM WAH NIN LARRY
SFC Licensed Person (CE No:AOL348)

Date : 15 - 10 - 2014

使用人民幣相關服務的非香港居民客戶（包括其賬戶的被授權簽字人），在獲發香港身份證後，須盡快攜同香港身份證、旅遊證件及中銀香港的存摺（如適用）親臨中銀香港任何一家分行辦理更新個人資料申請手續。如有查詢，請致電本行個人客戶服務熱線（852）3988 2388。
 Non-Hong Kong resident customers using the RMB-related services (including the authorised signatories of their accounts) should bring along their Hong Kong identity cards, travel documents and BOCHK passbook(s) (if applicable) to any of BOCHK's branches in person to update their personal particulars as soon as practicable should they have obtained Hong Kong identity cards. Please call our Personal Customer Service Hotline at (852) 3988 2388 for any enquiry.

致： 中银国际证券有限公司
业务营运部

加开附属账户

本人/吾等为中银国际证券有限公司之客户，现在持有之账户资料如下：

账户名称 : HUNG CHIN

账户号码 : 6696

1. 请取消表格 A 内之本人/吾等现持之附属账户（「取消附属账户」）并继续持有及/或加开表格 B 内之附属账户（「附属账户」）

表格 A: 取消附属账户	表格 B: 附属账户
<input checked="" type="checkbox"/> 1000 <input type="checkbox"/> 2000 <input type="checkbox"/> 1888	<input type="checkbox"/> 1000 <input checked="" type="checkbox"/> 2000 <input type="checkbox"/> 1888
<input type="checkbox"/> 8000 <input type="checkbox"/> 8200 <input type="checkbox"/> 8300	<input type="checkbox"/> 8000 <input type="checkbox"/> 8200 <input type="checkbox"/> 8300
<input type="checkbox"/> 其他 (请注明):	<input type="checkbox"/> 其他 (请注明):

2. 网上服务

- 本人/吾等之附属账户不须要网上服务。
- 本人/吾等同意现有之网上登入名称及密码适用于所有附属账户；如适用。
- 本人/吾等确认未曾申请网上登入服务。本人/吾等现同意申请网上服务，此服务适用于所有附属账户；如适用。以下为 3 个本人/吾等希望能使用之登入名称。
(请提供 6 至 15 个数字或字母，不能加入空格或符号。)

1. _____
2. _____
3. _____

3. 本人/吾等现授权贵公司使用本人/吾等于早前申请账户时所提供之所有开户资料作为开立附属账户之用（「资料」）。本人/吾等确认本人/吾等之资料均与准确无误。

4. 资产组合之调动：

- 本人/吾等现授权贵公司就取消附属账户内之所有持仓（包括现金、证券及/或合约(如有)）转移至下列之附属账户：

1000 2000 1888 8000 8200 8300 其他 (请注明): _____


Signature Verified By Larry Situm
客户签署

29/12/2014

日期

For Internal Use Only	
AE / RM Name (Closed Sub-account(s)) (Code: 611) <u>LARRY SITUM</u>	AE / RM Name (Sub-account(s)) (Code: 611) <u>LARRY SITUM</u>
AE / RM Signature (Closed Sub-account(s)) 	AE / RM Signature (Sub-account(s)) 
Team Head Signature (Closed Sub-account(s)) <u>Frank Lai</u> 30 DEC 2014	Team Head Signature (Sub-account(s)) <u>Frank Lai</u> 30 DEC 2014

ISD 083-C/01

账户申请表格

中银国际证券有限公司
 香港中环花园道1号中银大厦20楼
 香港中环皇后大道中181号新纪元广场18楼
 中央编号 : AAC298

For internal use only	
Sales / RM Code: AE611	
Referral Code:	
Account No.:	
Group ID:	
Comm Code:	
Account risk level:	
<input checked="" type="checkbox"/> high	<input checked="" type="checkbox"/> medium
<input type="checkbox"/> low	

第A部份 基本资料

账户名称 (英文)

HUNGA CHIN

账户号码 (适用于现有客户)

6696 -

账户种类 :

 个人账户 联名账户 - 请注明联名账户持有人总人数 公司账户

账户持有人之身份证 / 护照号码 (适用于个人 / 联名账户)

公司注册证明书编号 (适用于公司账户)

第B部份 需要服务种类

本人 / 吾等欲开立及维持交易账户，作为对以下产品交易之用：

- | | |
|---|--|
| <input type="checkbox"/> 现金证券 | <input checked="" type="checkbox"/> 孑展证券 |
| <input type="checkbox"/> 期货 / 指数期权 / 商品期权 | <input type="checkbox"/> 股票期权 |
| <input type="checkbox"/> 杠杆式外汇买卖 | <input type="checkbox"/> 投资移民账户 |
| <input type="checkbox"/> 其他 : _____ | |



第C部份 申请网上交易服务**C - 1 网上证券交易服务**

需要 (请继续填写余下的C - 1部份) 不需要

本人 / 吾等愿意使用本人 / 吾等现有之网上证券账户登入名称登入本人 / 吾等新开立的证券账户。

本人 / 吾等愿意申请一个全新的网上证券账户登入名称以登入新开立的证券账户。

请提供三个网上账户登入名称选择 (6 - 15个数字或字母，不能加入空格和符号，例：paulchan123)

第一网上服务用户	第二网上服务用户 (联名账户必须填写，公司账户可选择性填写)
1.	1.
2.	2.
3.	3.

C - 2 网上期货及商品交易服务

需要 不需要

C - 3 网上杠杆式外汇交易服务

需要 不需要

第D部份 账户操作

现有客户不须填写此部份。新增产品交易的账户运作会按现有账户设定维持不变。

D - 1 签署安排 (只适用于联名账户)

有关决定吾等账户操作 (包括提款及资产转移) 之有效文件，吾等决定必须按照以下签署方式处理：

由任何单一账户持有人签署 由联名账户持有人同时签署

D - 2 公司通讯 (只适用于证券账户)

阁下是否希望收取有关未以阁下名义登记持有但存放于香港中央结算系统内证券之公司通讯？

否

是，本人 / 吾等现授权中银国际证券有限公司，向香港中央结算有限公司及 / 或有关过户登记处披露本人 / 吾等之名称及通讯地址。本人 / 吾等承诺若该等资料有所更改时，必须立即通知中银国际证券有限公司，并知悉就此事宜中银国际证券有限公司只负责向上述机构披露本人 / 吾等之名称及通讯地址。

D - 3 结单寄发

请选择下列其中一种方式收取结单：

 电子结单*

电子日结单及月结单将发送至阁下的基本电邮地址

 额外电邮地址以收取电子结单复本（只适用于公司账户）

*倘若电邮通讯设备或其传送发生故障，中银国际证券有限公司保留权利，在不时及无需作任何事先通知的情况下，暂停或终止透过电邮向阁下发出结单。结单将以邮包方式发送至阁下最后向我司提供之通讯地址。

 书面结单

日结单及月结单将以邮寄方式发送至阁下之通讯地址（就联名账户，书面结单将寄至账户持有人之通讯地址）

语言选择： 英文 简体中文

D - 4 即时交易确认短讯

 需要

本人 / 吾等希望透过手提电话接收证券及 / 或期货及商品交易的即时确认短讯。请把即时确认短讯发送致账户持有人已登记的香港手提电话。

 证券账户（申请人须同时申请网上证券交易服务（C-1部分）） 期货 / 商品 / 股票期权账户

即时交易确认短讯服务只适用于香港手提电话号码并视乎网络供应商是否提供该等服务。倘若电邮通讯设备或其传送发生故障，中银国际证券有限公司保留权利，在不时及无需作任何事先通知的情况下，暂停或终止向阁下发出交易确认短讯。

 不需要

D - 5 每日成交总结（只适用于公司账户）

 需要（请继续填写余下的D - 5 部份） 不需要

请选择下列其中一种方式收取每日成交总结：

 传真 公司传真号码 额外传真号码以收取交易总结复本

--	--	--	--	--	--	--	--

 电邮 基本电邮地址 额外电邮地址以收取交易总结复本

--	--	--	--	--	--	--	--

倘若通讯设备或其传送发生故障，中银国际证券有限公司保留权利，在不时及无需作任何事先通知的情况下，暂停或终止向阁下发出每日成交总结。

第E部份 申请人之声明**E - 1 申请人确认书**

于签署此账户申请表格前，敬请：

- 注意所有与中银国际证券有限公司完成或透过中银国际证券有限公司进行之交易均受客户协议（定义请参阅下文）之条件及条款所限制；及
- 细阅已按照阁下所选择的语言（英文或中文）而提供予阁下的客户协议（包括风险披露声明），提出问题及征求独立的意见（如阁下有此意愿）。

(适用于新客户)

- 本人 / 吾等确认已收取中银国际证券有限公司之客户协议（包括协议所有部份及附录）、风险披露声明、客户资料声明、账户申请表格及其他与是次开户有关的协议及文件（如适用）（合称「客户协议」）及确认客户协议（包括风险披露声明）是按照本人 / 吾等所选择的语言（英文或中文）而提供，并已邀请本人 / 吾等阅读该客户协议（包括风险披露声明），提出问题及征求独立的意见（如本人 / 吾等有此意愿）。本人 / 吾等确认本人 / 吾等已仔细阅读，充分理解并接受载于客户协议内（包括风险披露声明）的所有条款和规定，并同意遵守客户协议（包括风险披露声明）可能会不时修改的所有条款和规定。

(适用于现有客户)

- 本人 / 吾等确认已备有早前由中银国际证券有限公司提供之客户协议（包括协议所有部份及附录）、风险披露声明、客户资料声明、账户申请表格及其他与是次开户有关的协议及文件（如适用）（合称「客户协议」）及并不需要另一套客户协议（包括风险披露声明）。本人 / 吾等明白本人 / 吾等有权随时要求中银国际证券有限公司提供另一套客户协议（包括风险披露声明）及 / 或本人 / 吾等随时可以于中银国际证券有限公司网页内获取一套客户协议（包括风险披露声明）。本人 / 吾等确认客户协议（包括风险披露声明）是按照本人 / 吾等所选择的语言（英文或中文）而提供，本人 / 吾等并已被邀请仔细阅读该客户协议（包括风险披露声明），提出问题及征求独立的意见（如本人 / 吾等有此意愿）。本人 / 吾等确认本人 / 吾等已仔细阅读，充分理解并接受载于客户协议内（包括风险披露声明）的所有条款和规定，并同意遵守客户协议（包括风险披露声明）可能会不时修改的所有条款和规定。

本人 / 吾等进一步确认及同意，若因：(一) 中银国际证券有限公司之失误而导致本人 / 吾等蒙受损失，责任赔偿将限于根据证券及期货条例成立之投资者赔偿基金规定的范围内（如适用）；(二) 假若证券寄存于中银国际证券有限公司或根据客户协议买入并于香港以外持有，本人 / 吾等便可能不能享有香港法例给予该等证券的同等保障，以及可能不能享有如同在香港持有该等证券的相同权利。

E - 2 申请人声明

就有关是次新开 / 新增账户之申请，本人 / 吾等特此授权中银国际证券有限公司使用本人 / 吾等在客户协议中所提供的资料（包括本人 / 吾等在申请现有账户时所提供的资料）（下称「客户资料」）。本人 / 吾等确认有关客户资料在任何方面均为属实、完整及正确，及对客户协议中的陈述于下述日期均为准确。中银国际证券有限公司获授权随时联络任何人，包括但不限于本人 / 吾等之银行、经纪、或任何信用机构，以求证实客户资料。

本人 / 吾等承诺如客户资料有任何变更，本人 / 吾等将尽快以书面通知中银国际证券有限公司。除非中银国际证券有限公司接收到本人 / 吾等更改有关内容的书面通知，中银国际证券有限公司有权在任何情况下完全依赖本人 / 吾等的证明及确认。

E - 3 申请人个人资料使用同意书

本人 / 吾等已仔细阅读，完全理解并同意接受并遵守载于客户协议附录一及二（如适用）有关个人资料（私隐）条例（香港法例第486章）的通知及声明。尤其是，

- 本人 / 吾等同意使用本人 / 吾等的客户资料作为与本人 / 吾等于中银国际证券有限公司开立或继续维持账户，及中银国际证券有限公司向本人 / 吾等提供金融服务有关的直接促销之用。
- 本人 / 吾等同意中银国际证券有限公司、其任何集团公司或其他仔细挑选之金融、保险、电信服务提供商使用本人 / 吾等的客户资料作为直接促销与本人 / 吾等于中银国际证券有限公司开立或继续维持之账户，及中银国际证券有限公司向本人 / 吾等提供金融服务无关的其他金融、保险或电讯产品 / 服务之用。

(申请公司账户适用)	
<input type="checkbox"/> 如吾等在与中银国际证券有限公司进行业务交易过程中向中银国际证券有限公司提供或被中银国际证券有限公司所持有吾等任何代表(包括董事、雇员、代理、客户(直接或间接)或关联方)或任何第三方的个人资料或数据,吾等承诺并声明,吾等已经获得吾等代表的相关同意,准许中银国际证券有限公司根据客户协议附录一和附录二中所述目的和要求使用、处理、处置、分享或转移这些资料或数据,并且吾等同意将在中银国际证券有限公司提出请求时及时向中银国际证券有限公司提供有关上述同意的证明。	
E - 4 申请人签署	
个人 / 联名账户适用	
账户持有人签署 	账户第二/ 其他持有人签署 Signature Verified By <u>Ron</u>
姓名 <u>HUNG CHIN</u>	姓名
日期 <u>29/12/2014</u>	日期
公司账户适用	
签署 (公司印章(如适用))	获授权人士姓名
	获授权人士姓名 (如有)
	日期

只供内部使用	
持牌人士声明 本人在此确认:	
<input checked="" type="checkbox"/> 本人已核查上述申请人之身份证明文件或公司注册文件之原本,并见证上述申请人之签名。	
<input checked="" type="checkbox"/> 账户申请人已被提供按照其选择语言(英文或中文)的客户协议(包括风险披露声明),本人并且已邀请账户申请人阅读该客户协议(包括风险披露声明)、提出问题及征求独立的意见(如账户申请人有此意愿)。	
<input checked="" type="checkbox"/> 本人已向账户申请人解释,假若账户申请人现受雇于任何从事证券及期货条例界定的受规管活动的持牌法团或注册机构,账户申请人必须向中银国际证券有限公司提供其雇主所发出的同意书以支持此账户之申请。	
持牌人士签署 	持牌人士姓名 <u>CARRY SAWYER</u>
中央编号 <u>AOL348</u>	日期 <u>29/12/2014</u>

**CUSTOMER INFORMATION STATEMENT -
INDIVIDUAL / JOINT ACCOUNT**



中銀國際證券有限公司
BOCI Securities Limited

BOCI Securities Limited ("BOCIS")

20 / F, Bank of China Tower, 1 Garden Road, Hong Kong
18 / F, Grand Millennium Plaza, 181 Queen's Road Central, Hong Kong
CE No.: AAC298

Please complete in BLOCK letters.

TYPE OF ACCOUNT

Individual Account

Joint Account (Each of the joint account holders (other than the principal account holder) shall complete the Customer Information Statement - Joint Account Holder)

PRINCIPAL / SOLE ACCOUNT HOLDER'S PERSONAL INFORMATION

Name In English HUNG CHIN	Name In Chinese Hung 韶
Former Name / Alias in English (if any) /	Former Name / Alias in Chinese (if any) /
I.D. / Passport No. [REDACTED]	Gender <input checked="" type="checkbox"/> Male <input type="checkbox"/> Female
Nationality CHINESE	Other Nationality (if applicable) /
Date of Birth [REDACTED] 1966 yyy	Place of Birth GUANGDONG
U.S. Tax Resident <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	U.S. Green Card Holder <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Home Phone No. [REDACTED]	Mobile Phone No. 66601729 853-666077
Fax No. [REDACTED]	Contact No. (phone or fax) contains U.S. Country Code <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Primary Email Address hungchin@126.com	This email address shall be used for accounts' operation, activation of online trading service and receipt of all correspondence, including electronic statement (if applicable)



Residential Address (P.O. Box not accepted)

FLAT C 46/F BLOCK 2
 THE ZENITH 258 QUEEN'S RD EAST WANCHAI HK
(Postal Code:)

Permanent Address (if different from above) (P.O. Box not accepted)

(Postal Code:)

Nature of Occupancy

- | | | |
|---------------------------------------|--|---|
| <input type="checkbox"/> With Parents | <input type="checkbox"/> Owned (No mortgage) | <input checked="" type="checkbox"/> Mortgaged / Monthly Installment \$ <u>20k</u> |
| <input type="checkbox"/> Relative's | <input type="checkbox"/> Staff Quarters | <input type="checkbox"/> Rented / Monthly Rental \$ _____ |

Employment Status

- | | | | |
|--|--|---|---|
| <input type="checkbox"/> Self-employed | <input checked="" type="checkbox"/> Full-time Employed | <input type="checkbox"/> Part-time Employed | <input type="checkbox"/> Not Currently Employed |
| <input type="checkbox"/> Retired | <input type="checkbox"/> Full-time Student | <input type="checkbox"/> Housewife | <input type="checkbox"/> Others (Please specify). _____ |

Employer's Name

碼頭網絡安全諮詢有限公司
 碼頭網絡安全諮詢有限公司

Nature of Business

IT

Office Phone No.

852-1111-66601729

Year(s) with Existing Employer

20 year

Position

CEO

Office Address

七澤公路 葡京花園
 258七澤公路葡京花園12樓E

Correspondence Address

- | | | |
|---|------------------------------------|---------------------------------|
| <input checked="" type="checkbox"/> Residential | <input type="checkbox"/> Permanent | <input type="checkbox"/> Office |
| <input type="checkbox"/> Others _____ | | |

Relationship with Joint Account Holder(s)
(for joint account only)

LICENSED / REGISTERED PERSON DECLARATION

Are you a licensed / registered person, or an employee of a licensed corporation / registered institution (e.g. employee of a brokerage firm / bank) as defined under the Securities and Futures Ordinance (Cap. 571 of the Laws of Hong Kong)?

- No Yes, please specify: _____

Do you have any relative(s) working in BOCI Group (which includes BOC International Holdings Limited and its subsidiaries)?

- No Yes, please specify: _____
- | | |
|------|--------------|
| Name | Relationship |
|------|--------------|

ULTIMATE BENEFICIAL OWNER(S) OF THE ACCOUNT

Are you the ultimate beneficial owner(s) of the Account? (This information is required under the Client Identity Rule Policy of the Securities and Futures Commission.)

Yes No, please provide details of the ultimate beneficial owner(s):

Name In English	Name in Chinese		
Former Name / Alias in English (if any)	Former Name / Alias in Chinese (if any)		
I.D. / Passport No.	Gender	<input type="checkbox"/> Male	<input type="checkbox"/> Female
Nationality	Other Nationality (if applicable)		
Date of Birth dd mm yyyy	Place of Birth		
U.S. Tax Resident <input type="checkbox"/> Yes <input type="checkbox"/> No	U.S. Green Card Holder	<input type="checkbox"/> Yes	<input type="checkbox"/> No
Contact No. [REDACTED]	Contact No. (phone and/or fax) contains U.S. Country code <input type="checkbox"/> Yes <input type="checkbox"/> No		
Address			
Do you, your spouse or any company controlled by you (with 35% or more voting rights) maintain any other account(s) with BOCIS? <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes, please provide details:			
Account Name	Account No.		
Account Name	Account No.		

APPLICANT'S BANK DETAILS

Funds will be transferred to the following bank accounts pursuant to your fund withdrawal instruction.

Bank Account (Hong Kong) USD HKD

Bank Name

BOC(HK)

Account Holder's Name

HUNG CHIN

Account No.

[REDACTED] 684-4

Bank Account (Overseas)

Bank Name

Bank Address

Account Holder's Name

Account No.

Currency

APPLICANT'S FINANCIAL PROFILE AND INVESTMENT EXPERIENCE

(This information is required under the "know-your-client requirements" of the Securities and Futures Commission.)

Income and Asset**Estimated Annual Income (HK\$)**

- Under HK\$200,000
 HK\$200,000 - HK\$500,000
 HK\$500,001 - HK\$1,000,000
 HK\$1,000,001 - HK\$5,000,000
 Above HK\$5,000,000
 Other Income Source: _____

Approximate Net Asset Value (HK\$) (Total Assets - Total Liabilities)

- Under HK\$500,000
 HK\$500,000 - HK\$3,000,000
 HK\$3,000,001 - HK\$5,000,000
 HK\$5,000,001 - HK\$30,000,000
 Above HK\$30,000,000

Educational Background

- Primary School Secondary School University or above Others: _____

Source(s) of Funds for This Account (you may choose more than one option)

- Sale of Property Savings from Earnings / Bonus Sale of Investments Pension
 Return on Investments Inheritance / Gift Commission
 Others, please specify: _____

Investment Objective(s) and Strategy(ies) (you may choose more than one option)

- Capital Preservation Building Wealth Speculation
 Regular Income Beat Inflation Hedging
 Retirement Children Education Fund Others: _____

Investment Period

- Short Term (i.e. less than 1 year) Short to Medium Term (i.e. 1 to 5 years)
 Medium Term (i.e. 6 to 10 years) Long Term (i.e. over 10 years)

Investment Experience

Products	Frequency of Transactions Per Annum			Investment Experience (year)			
Listed Shares	<input type="checkbox"/> < 20	<input checked="" type="checkbox"/> 20 - 50	<input type="checkbox"/> > 50	<input type="checkbox"/> Nil	<input type="checkbox"/> < 1	<input type="checkbox"/> 1 - 5	<input checked="" type="checkbox"/> > 5
Debt Securities / Bonds	<input type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> > 50	<input checked="" type="checkbox"/> Nil	<input type="checkbox"/> < 1	<input type="checkbox"/> 1 - 5	<input type="checkbox"/> > 5
Leveraged Foreign Exchange	<input type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> > 50	<input checked="" type="checkbox"/> Nil	<input type="checkbox"/> < 1	<input type="checkbox"/> 1 - 5	<input type="checkbox"/> > 5
Commodities / Bullion / Precious Metals	<input type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> > 50	<input checked="" type="checkbox"/> Nil	<input type="checkbox"/> < 1	<input type="checkbox"/> 1 - 5	<input type="checkbox"/> > 5
OTC Structured Products							
• Principal Protected Products	<input type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> > 50	<input checked="" type="checkbox"/> Nil	<input type="checkbox"/> < 1	<input type="checkbox"/> 1 - 5	<input type="checkbox"/> > 5

Non-Principal Protected Products							
Vanilla Derivatives	<input type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> > 50	<input checked="" type="checkbox"/> Nil	<input type="checkbox"/> < 1	<input type="checkbox"/> 1 - 5	<input type="checkbox"/> > 5
Exotic Derivatives	<input type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> > 50	<input checked="" type="checkbox"/> Nil	<input type="checkbox"/> < 1	<input type="checkbox"/> 1 - 5	<input type="checkbox"/> > 5
Exchange Traded Derivative Products (traded in Hong Kong or elsewhere)							
• Warrants <input type="checkbox"/> Hong Kong <input type="checkbox"/> other exchanges (please specify)	<input type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> > 50	<input checked="" type="checkbox"/> Nil	<input type="checkbox"/> < 1	<input type="checkbox"/> 1 - 5	<input type="checkbox"/> > 5
• Callable Bull / Bear Contracts <input type="checkbox"/> Hong Kong <input type="checkbox"/> other exchanges (please specify)	<input type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> > 50	<input checked="" type="checkbox"/> Nil	<input type="checkbox"/> < 1	<input type="checkbox"/> 1 - 5	<input type="checkbox"/> > 5
• Futures and Options Contracts <input type="checkbox"/> Hong Kong <input type="checkbox"/> other exchanges (please specify)	<input type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> > 50	<input checked="" type="checkbox"/> Nil	<input type="checkbox"/> < 1	<input type="checkbox"/> 1 - 5	<input type="checkbox"/> > 5
• Equity Linked Notes / Equity Linked Instruments <input type="checkbox"/> Hong Kong <input type="checkbox"/> other exchanges (please specify)	<input type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> > 50	<input checked="" type="checkbox"/> Nil	<input type="checkbox"/> < 1	<input type="checkbox"/> 1 - 5	<input type="checkbox"/> > 5
• Other Products (please specify) <input type="checkbox"/> Hong Kong <input type="checkbox"/> other exchanges (please specify)	<input type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> > 50	<input checked="" type="checkbox"/> Nil	<input type="checkbox"/> < 1	<input type="checkbox"/> 1 - 5	<input type="checkbox"/> > 5
Investment Funds							
• Mutual Funds / Unit Trusts	<input checked="" type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> > 50	<input type="checkbox"/> Nil	<input type="checkbox"/> < 1	<input type="checkbox"/> 1 - 5	<input checked="" type="checkbox"/> > 5
• Hedge Funds / Private Equity Funds	<input type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> > 50	<input checked="" type="checkbox"/> Nil	<input type="checkbox"/> < 1	<input type="checkbox"/> 1 - 5	<input type="checkbox"/> > 5
• Leveraged and Specialty Exchange Traded Funds	<input type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> > 50	<input checked="" type="checkbox"/> Nil	<input type="checkbox"/> < 1	<input type="checkbox"/> 1 - 5	<input type="checkbox"/> > 5
• Other Funds (please specify)	<input type="checkbox"/> < 20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> > 50	<input checked="" type="checkbox"/> Nil	<input type="checkbox"/> < 1	<input type="checkbox"/> 1 - 5	<input type="checkbox"/> > 5
Other relevant investment experience:							

APPLICANT'S DECLARATION

I represent that all information contained in this Customer Information Statement is in all respects true, complete and correct. BOCIS is authorized at any time to contact any person, including but without limitations to my banks, brokers or any credit agency, for purposes of verifying the customer information contained herein.

I declare that I am not currently employed by any entity licensed or registered to carry on regulated activities as defined in the Securities and Futures Ordinance (Cap. 571 of the Laws of Hong Kong). Otherwise, I understand that I am required to provide BOCIS written consent from my employer before I open and operate any account with BOCIS. I undertake to promptly notify BOCIS if I become or cease to be employed by any entity licensed or registered to carry out regulated activities.

I undertake to notify BOCIS in writing forthwith upon any changes to any of the information contained in this Customer Information Statement. BOCIS is entitled to rely fully on any of my certification and confirmation contained herein for all purposes, unless BOCIS receives notice in writing of any changes thereof.

**APPLICANT'S SIGNATURE
(THIS SIGNATURE WILL BE TREATED AS SPECIMEN SIGNATURE)**

Applicant's Signature:



Date:

15 - 10 - 2014



ACCOUNT APPLICATION FORM

BOCI Securities Limited ("BOCIS")

20 / F, Bank of China Tower, 1 Garden Road, Hong Kong
 18 / F, Grand Millennium Plaza, 181 Queen's Road Central, Hong Kong
 CE No.: AAC298

For internal use only

Sales / RM Code: AE611

Referral Code:

Account No.:

Group ID:

Comm Code:

Account risk level:

 high medium low

Please complete in BLOCK letters.

SECTION A GENERAL INFORMATION

Account Name

HUNG CHIN

Account No. (for existing customer)

Type of Account:

 Individual Account Joint Account - Please state the total number of joint account holders: Corporate AccountI.D. / Passport No. of Principal Account Holder
(for individual / joint account)Certificate of Incorporation No.
(for corporate account)

SECTION B TYPE OF SERVICE REQUIRED

I / We would like to open and maintain trading account(s) to trade the following product(s):

 Cash Securities Margin Securities Futures / Index Options / Commodity Options Stock Options Leveraged Foreign Exchange Trading Designated Account for Capital Investment Entrant Scheme Others: _____

SECTION C SUBSCRIPTION OF ONLINE TRADING SERVICE**C – 1 Online Trading Service for Securities Trading**

Required (Please continue to complete the rest of C-1) Not Required

I / We wish to use my / our existing online securities account login ID for my / our new securities trading account.

I / We wish to subscribe a new online securities account login ID for my / our new securities trading account.

Please provide 3 choices for online account login ID (6-15 alphanumeric, no space and symbol, e.g. paulchan123)

First Online Service User	Second Online Service User (Mandatory for joint account and optional for corporate account)
1. <i>USER8199</i>	1.
2.	2.
3.	3.

C – 2 Online Trading Service for Futures and Commodities Trading

Required Not Required

C – 3 Online Leveraged Foreign Exchange Trading

Required Not Required

SECTION D OPERATION OF ACCOUNT

Existing customer is not required to complete this section. The operation of account for new additional product(s) shall be same as the existing settings.

D – 1 Signing Arrangement (for joint account only)

We decide that the valid document in connection with the operation (including fund withdrawal and asset transfer) of our account must be signed in the following manner:

Singly by either account holder Jointly by joint account holders

D – 2 Corporate Communication (for securities account only)

Do you wish to receive the corporate communication for securities held in the CCASS Depositary in Hong Kong of which you are the non-registered underlying owner(s)?

No

Yes, I / we hereby authorize BOCIS to disclose my / our name and correspondence address to the Hong Kong Securities Clearing Company Limited and / or the relevant registrars of the listed issuers. I / We undertake to advise BOCIS immediately of any change of such particulars and acknowledge that the sole duty of BOCIS in this respect is to dispatch my / our name and correspondence address to the aforesaid entities.

D – 3 Delivery of Statements

Please choose one of the following media for the delivery of statements:

 Electronic Statement*

Daily and monthly electronic statements will be sent to your primary email address

Additional email address for duplicate statements (for corporate account only)

*BOCIS reserves the right from time to time and without prior notification to suspend or discontinue the delivery of statements by email resulting from the breakdown or failure of the transmission of email communication facilities. Statements will be sent to your latest correspondence address provided to BOCIS.

 Physical Statement

Daily and monthly statements will be sent to your correspondence address by mail. (for joint account, statements will be sent to the correspondence address of the principal account holder)

Choice of Language: English Simplified Chinese

D – 4 SMS Instant Trade Confirmation Required

I / We wish to receive execution results of the securities and / or futures and commodities orders via mobile phone's Short Message Service ("Instant Trade Confirmation"). Please send Instant Trade Confirmation to the registered Hong Kong mobile number of the principal account holder.

Securities Account (applicant has to subscribe online trading service for securities trading (Section C-1) simultaneously)

Futures/ Commodities/ Stock Options Account(s)

Instant Trade Confirmation service is only available to Hong Kong mobile number only, subject to service availability of network providers. BOCIS reserves the right from time to time and without prior notification to suspend or discontinue the delivery of Instant Trade Confirmation resulting from the breakdown or failure of the transmission or communication facilities.

 Not Required**D – 5 Daily Trade Summary (for corporate account only)**

Required (Please continue to complete the rest of D-5)

Not Required

Please choose one of the following media for receiving daily trade summary:

Fax

Office fax no.

Additional fax no. for duplicate trade summary

[REDACTED]

Email

Primary email address

Additional email address for duplicate trade summary

[REDACTED]

BOCIS reserves the right from time to time and without prior notification to suspend or discontinue the delivery of daily trade summary resulting from the breakdown or failure of the transmission or communication facilities.

SECTION E APPLICANT'S DECLARATION**E - 1 Applicant's Acknowledgement and Confirmation**

Prior to signing this Account Application Form, please:

- note that all transactions to be concluded with or through BOCIS shall be subject to the terms and conditions of the Customer Agreement (as defined below) ; and
- read the Customer Agreement (including Risk Disclosure Statement) which you have been provided in a language (English or Chinese) of your choice carefully, ask questions and take independent advice if you so wish.

(For New Customer)

I / We acknowledge my / our receipt of the Customer Agreement of BOCIS (including all Sections thereunder and Appendices thereto), the Risk Disclosure Statement, the Customer Information Statement, the Account Application Form and any other agreements or documents related to the opening of the account(s), whenever applicable (collectively the "Customer Agreement") and confirm that the Customer Agreement (including Risk Disclosure Statement) were provided to me / us in a language (English or Chinese) of my / our choice and I / we was / were invited to read the Customer Agreement (including Risk Disclosure Statement) carefully, ask question's and take independent advice if I / we so wish. I / We confirm that I / we have carefully read, fully understood and accepted all the terms and provisions contained in the Customer Agreement (including Risk Disclosure Statement) and shall be bound by the Customer Agreement (including Risk Disclosure Statement) as it may be amended from time to time.

(For Existing Customer)

I / We confirm that I / we have the Customer Agreement of BOCIS (including all Sections thereunder and Appendices thereto), the Risk Disclosure Statement, the Customer Information Statement, the Account Application Form and any other agreements or documents related to the opening of the account(s), whenever applicable (collectively the "Customer Agreement") which I / we have previously been provided with and do not require another copy of the Customer Agreement (including Risk Disclosure Statement). I / We understand that I am / we are entitled to request a further copy of the Customer Agreement (including Risk Disclosure Statement) at any time from BOCIS and I / we can access the Customer Agreement (including Risk Disclosure Statement) at any time on the website of BOCIS. I / We confirm that the Customer Agreement (including Risk Disclosure Statement) were provided to me / us in a language (English or Chinese) of my / our choice and I / we was / were invited to read the Customer Agreement (including Risk Disclosure Statement) carefully, ask questions and take independent advice if I / we so wish. I / We confirm that I / we have carefully read, fully understood and accepted all the terms and provisions contained in the Customer Agreement (including Risk Disclosure Statement) and shall be bound by the Customer Agreement (including Risk Disclosure Statement) as it may be amended from time to time.

I / We further acknowledge and agree that: (a) in the event of BOCIS' default and me / us having incurred a loss as a result thereof, the liability of the Investor Compensation Fund established under the Securities and Futures Ordinance (where applicable) will be restricted to the extent provided for therein, and (b) where securities are deposited with BOCIS or purchased pursuant to the Customer Agreement and held outside Hong Kong, I / we may not be afforded equivalent protection in respect of those securities as that afforded under Hong Kong law and I / we may not have the same rights as I / we have in respect of securities held in Hong Kong.

E - 2 Applicant's Declaration

For the purpose of opening any new / additional Account(s), I / we hereby authorize BOCIS to use the information provided by me / us in the Customer Agreement (including information previously provided by me / us for the existing Account(s)) to BOCIS ("Customer Information"). I / We represent that all Customer Information is in all respects true, complete and correct and that the representations in the Customer Agreement are accurate as at the date hereof. BOCIS is authorized at any time to contact any person, including but without limitations to my / our banks, brokers or any credit agency, for purposes of verifying the Customer Information.

I / We undertake to notify BOCIS in writing forthwith upon any changes in any such Customer Information. BOCIS is entitled to rely fully on any of my / our certification and confirmation contained for all purposes, unless BOCIS receives prior notice in writing of any changes thereof.

E - 3 Applicant's Consent to Use of Personal Data

I / We have carefully read, fully understood and agreed to accept and be bound by the Notice and Statement Relating to the Personal Data (Privacy) Ordinance (Cap. 486 of the Laws of Hong Kong) as set out in Appendix I and II (as applicable) to the Customer Agreement. In particular,

- I / We hereby consent to the use of my / our Customer Information for direct marketing directly related to the opening or continuation of my / our accounts with BOCIS and the provision of financial services by BOCIS to me / us.
- I / We hereby consent to the use of my / our Customer Information for direct marketing by BOCIS, any Group Company or other carefully selected financial, insurance, telecommunications service providers of other financial, insurance or telecommunications products and / or services not directly related to the opening or continuation of my / our accounts with BOCIS and the provision of financial services by BOCIS to me / us.

(Corporate account applicant only)

- Where personal data or information relating to any of our representatives (including directors, employees, agents, customer (direct or indirect) or affiliates) or any third party is provided to or held by BOCIS in the course of its business dealings with BOCIS, we undertake and represent that we have obtained the relevant consent of our representatives to enable BOCIS to use, process, deal, share or transfer such data or information in accordance with the purposes and requirements of Appendix I and II (as applicable) of the Customer Agreement and we agree that we will promptly provide evidence of such consents as and when requested by BOCIS.

E - 4 Applicant's Signature*For Individual / Joint Account*

Principal Account Holder's Signature



Second / Other Account Holder's Signature

Print Name

Print Name

Date

15/10/2014

Date

For Corporate Account

Signature (company chop (if any))

Print Name of Authorized Person

Print Name of Authorized Person (if any)

Date

FOR INTERNAL USE ONLY**Licensed Person's Declaration**

I hereby solemnly swear that:

- I have witnessed the signature and inspected the original identity or company establishment documents of the above-named applicant.
- The applicant has been provided with the Customer Agreement (including Risk Disclosure Statement) in the language of the applicant's choice (English or Chinese); and the applicant has been invited to read the Customer Agreement (including Risk Disclosure Statement), ask questions and take independent advice if he / she so wishes.
- I have already explained to the applicant that if he / she is currently employed by any registered institution or licensed corporation to carry on regulated activities, the applicant is required to provide BOCIS a written consent from his / her employer in support of this account application .

Signature of Licensed Person

Print Name of Licensed Person

CARRY SHUM

CE No.

Date

AOL 348

15 - 10 - 2014

ITEM ①

Hung, Chin

1574 2069
WUNG, CHIN



1966

1. 73.
[illegible]

04-09-2016 11-05-1987

CSM



卷之三

04-09-2006

Macau Special Administrative Region Permanent Resident ID Card



[illegible]

6601292M1609042 <<<<<<<<<<<<P3
HUNG <<< CHIN <<<<<<<<<<<<<<

Certified True Copy of the Original

SHUM WAH NIN LARRY
SFC Licensed Person (CE No:AOL348)

Date : 15-10-2014

3

Certified True Copy of the Original

SHUM WAH NIN LARRY
SFC Licensed Person (CE No:AOL348)

Date:

15 - 10 - 2014



Certified True Copy of the Original

SHUM WAH NIN LARRY
SFC Licensed Person (CE No:AOL348)
Date: 15 - 10 - 2014

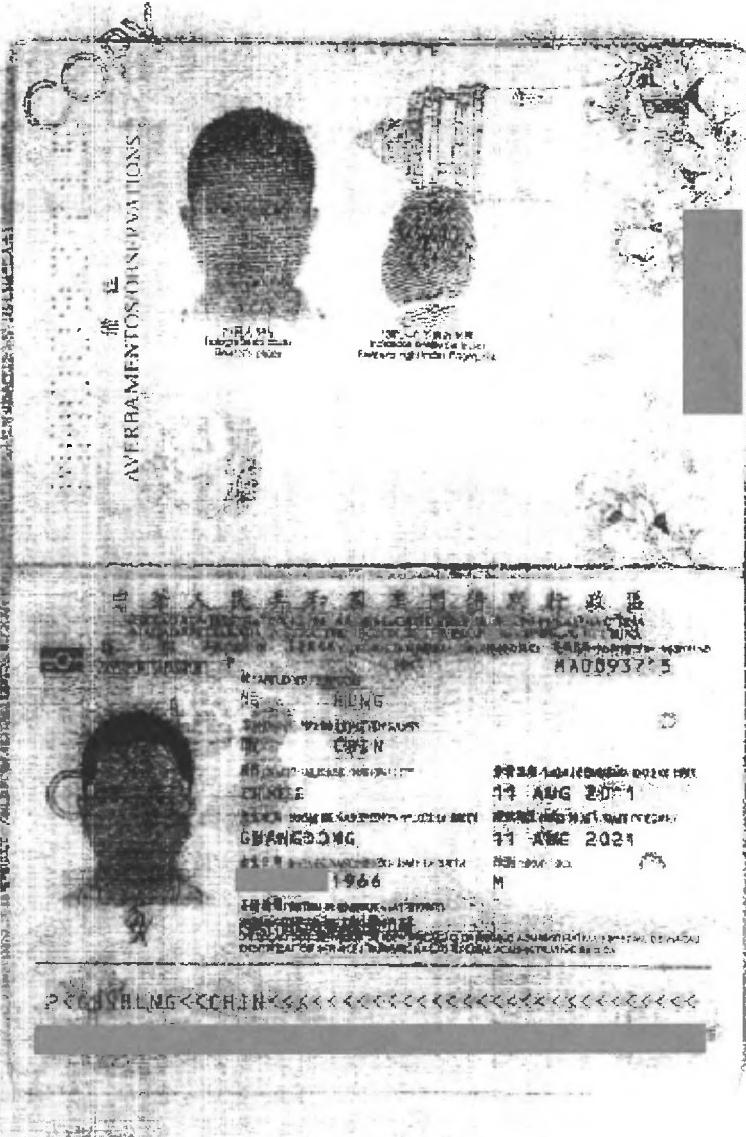
[illegible]

Confidential pursuant to Securities Exchange Act s.24(d).

SEC-HKSFC-P-0000354

Certified True Copy of the Original


SHUM WAH NIN LARRY
SFC Licensed Person (CE No:AOL348)
Date : 15 - 10 - 2014



Certified True Copy of the Original



SHUM WAH NIN LARRY
SFC Licensed Person (CE No:AOL348)
Date :

15 - 10 - 2014



COPY

Mr HUNG CHIN
FLAT C 46/F BLOCK 2
THE ZENITH 258 QUEEN'S RD EAST
WANCHAI HK

012-926 -1

1 Garden Road, Hong Kong

Customer number	69-7
Monthly statement date	2014/08/29
Number of pages	1

Comprehensive monthly statement

Current / savings account

Account type	Account No.	Currency	Original currency balance	HKD equivalent (DR) #1
Current account	GR4 4	HKD	6,738.39	6,738.39

Current / savings account transaction record

Date / currency	Transaction summary	Withdrawal	Deposit	Original currency balance (DR)
Current account		584-4		
HKD B/F DAL.				5,500.00
2014/08/25 TRS	CHATS/121408259KRI1155		2,321,238.39	2,320,738.39
2014/08/27 CCQ 222571		2,320,000.00		6,738.39

#1 Today's reference prices (converting other currencies to HKD)

Type	Reference price						
AUD	7.2470250	BCU	3.8568552	CAD	7.1382518	CHF	8.4736497
CNY	1.2614548	DKK	1.3715600	EUR	10.2222500	CBD	12.8665500
HKD	1.0000000	INR	0.1280886	JPY	0.0745910	MOP	0.9699625
MYR	2.4579765	NOK	1.2538019	NZD	6.5030250	SEK	1.1131219
SGD	6.2124248	THB	0.2426425	TWD	0.2593014	USD	7.7500000

If you would like to find out the reference exchange rates for other currencies that are not included in this monthly statement -- please contact the bank.

If you choose to receive future statements in English, please contact our personal customer hotline **3988 2388** or business customer hotline **3988 2288** to request that.

Nanyang Commercial Bank Ltd. ("Nanyang Commercial"), Chiyu Banking Corp. Ltd. and BOC Credit Card (International) Ltd. are subsidiaries of Bank of China (Hong Kong) Ltd. ("BOC Hong Kong").

Nanyang Commercial Bank (China) Ltd. ("Nanyang China") is a commercial bank established in mainland China and wholly held by BOC Hong Kong through its wholly owned subsidiary Nanyang Commercial.

Customer service hotline: **(86)800-830-2066** (please use a landline to dial this number if you are in mainland China) or **(852)2929-2988** (please dial this number if you are not in mainland China)
Website: www.ncbchina.cn

*** End ***

Certified True Copy of the Original

SHUM WAH NIN LARRY
SFC Licensed Person (CE No:AOL348)
Date: 15-10-2014

Non-Hong Kong resident customers using the KWB-related services (including the authorised signatories of their accounts) should bring along their Hong Kong identity cards, travel documents and BOCHK passbook(s) (if applicable) to any of BOCHK's branches in person to update their personal particulars as soon as practicable should they have obtained Hong Kong identity cards. Please call our Personal Customer Service Hotline at (852) 3988 2388 for any enquiry.

Confidential pursuant to Securities Exchange Act s.24(d).

SEC-HKSFC-P-0000356

To: BOCI Securities Ltd.
Business Operations Department

A5

Opening of adjunct accounts

I am / We are a customer of BOCI Securities Ltd. and hold the following account:

Account name: HUNG CHN
Account number: 6696

1. Please cancel my/our current adjunct accounts in Form A ([Cancel adjunct accounts]) and continue and/or add the adjunct accounts in Form B ([Adjunct accounts])

Form A: Cancel adjunct accounts	Form B: Adjunct accounts
<input checked="" type="checkbox"/> 1000 <input type="checkbox"/> 2000 <input type="checkbox"/> 1888	<input type="checkbox"/> 1000 <input checked="" type="checkbox"/> 2000 <input type="checkbox"/> 1888
<input type="checkbox"/> 8000 <input type="checkbox"/> 8200 <input type="checkbox"/> 8300	<input type="checkbox"/> 8000 <input type="checkbox"/> 8200 <input type="checkbox"/> 8300
<input type="checkbox"/> Other (please specify):	<input type="checkbox"/> Other (please specify):

2. Online services

My/Our adjunct accounts do not require online services.

I/We agree to use the current online login name and password for all adjunct accounts: if applicable.

I/We confirm that no application has been made for online services. I/We agree to apply for online services; these services apply to all adjunct accounts: if applicable. The following are three login names I/we wish to use. (Please provide 6 to 15 numbers or letters; spaces or symbols are not allowed.)

1. _____

2. _____

3. _____

3. I/We authorize you to use the account opening information provided by me/us when I/we applied for an account earlier for opening adjunct accounts ([information]). I/We confirm that all of my/our information is correct.

4. Asset portfolio transfer

I/We authorize you to cancel all holdings in adjunct accounts (including cash, securities, and/or contracts (if applicable)) and transfer them to the following adjunct accounts:

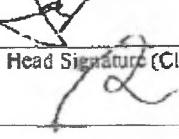
1000 2000 1888 8000 8200 8300 Other (please specify): _____


Signature Verified By Larry

29/12/2014

Date

Customer's signature

For Internal Use Only	
AE/RM Name (Closed Sub-account(s)) (Code: 511) <u>LARRY SHUM</u>	AE/RM Name (Sub-account(s)) (Code: 511) <u>LARRY SHUM</u>
AE/RM Signature (Closed Sub-account(s)) 	AE/RM Signature (Sub-account(s)) 
Team Head Signature (Closed Sub-account(s)) 	Team Head Signature (Sub-account(s)) 
Franki Lai 30 DEC 2014	Franki Lai 30 DEC 2014

ISD 083-C/01

Account application form



BOC International Securities Limited

A3

BOC International Securities Limited
 20th Floor, Bank of China Tower, 1 Garden Road Central, Hong Kong
 18th Floor, Grand Millennium Plaza, 181 Queen's Road Central, Hong Kong
 CE Number: AAC298

For internal use only	
Sales / RM Code: AEG11	
Referral Code:	
Account No.:	
Group ID:	
Comm Code:	
Account risk level:	
<input checked="" type="checkbox"/>	high
<input checked="" type="checkbox"/>	medium
<input type="checkbox"/>	low

Section A: General Information

Account Name (English)

HUNG CHIN

Account Number (for existing account)

6696

Type pf Account:

- Individual account
- Joint account. Please state total number of joint account holders:
- Corporate account

ID / Passport number of principal account holder
(for individual/joint account)

Certificate of incorporation No. (for corporate account)

Section B: Type of service required

I/We would like to open and maintain trading account(s) to trade the following product(s):

- | | |
|---|--|
| <input type="checkbox"/> Cash securities
<input type="checkbox"/> Futures / Index Options / Commodity Options
<input type="checkbox"/> Leveraged Foreign Exchange Trading
<input type="checkbox"/> Others: _____ | <input checked="" type="checkbox"/> Margin securities
<input type="checkbox"/> Stock options
<input type="checkbox"/> Designated account for capital investment entrant scheme |
|---|--|



Section C: Subscription of online trading service**C-1 Online trading service for securities trading**

- Required (please continue to complete the rest of C-1) Not required
- I/We wish to use my/our existing online securities account login ID for my/our new securities trading account.
- I/We wish to subscribe a new online securities account login ID for my/our new securities trading account.

Please provide 3 choices for online account login ID (6 - 15 numbers or letters; no space and symbol. For example: paulchan123)

First online service user	Second online service user (mandatory for joint account and optional for corporate account)
1.	1.
2.	2.
3.	3.

C-2 Online trading service for futures and commodities trading

- Required Not required

C-3 Online leveraged foreign exchange trading

- Required Not required

Section D: Operation of account

Existing customer is not required to complete this section. The operation of account for new additional product(s) shall be same as the existing settings.

D-1 Signing arrangement (for *joint account* only)

We decide that the valid document in connection with the operation (including fund withdrawal and asset transfer) of our account must be signed in the following manner:

- Signed by either account holder Jointly by joint account holders

D-2 Corporate communication (for *securities account* only)

Do you wish to receive the corporate communication for securities held in the CCASS Depositary in Hong Kong of which you are the non-registered underlying owner(s)?

- No

- Yes, I/we hereby authorize BOCIS to disclose my/our name and correspondence address to the Hong Kong Securities Clearing Company Limited and/or the relevant registrars of the listed issuers. I/We undertake to advise BOCIS immediately of any change of such particulars and acknowledge that the sole duty of BOCIS in this respect is to dispatch my/our name and correspondence address to the aforesaid entities.

D-3 Delivery of statements

Please choose one of the following media for the delivery of statements:

- Electronic statement*

Daily and monthly electronic statements will be sent to your primary email address

- Additional email address for duplicate statements (for corporate account only)

* BOCIS reserves the right from time to time and without prior notification to suspend or discontinue the delivery of statements by email resulting from the breakdown or failure of the transmission of email communication facilities. Statements will be sent to your latest correspondence address provided to BOCIS.

- Physical statement

Daily and monthly electronic statements will be sent to your correspondence address by mail. (For joint account, statements will be sent to the correspondence address of the principal account holder)

Choice of language: English Simplified Chinese

D-4 SMS instant trade confirmation

- Required

I/We wish to receive execution results of the securities and/or futures and commodities orders via mobile phone's short message service ("instant trade confirmation"). Please send instant trade confirmation to the registered Hong Kong mobile number of the principal account holder.

- Securities account (applicant has to subscribe online trading service for securities trading (Section C-1) simultaneously)

- Futures/commodities/stock options account(s)

Instant trade confirmation service is available to Hong Kong mobile number only, subject to service availability of network providers. BOCIS reserves the right from time to time and without prior notification to suspend or discontinue the delivery of instant trade confirmation resulting from the breakdown or failure of the transmission or communications facilities.

- Not required

D-5 Daily trade summary (for corporate account only)

- Required (Please complete the rest of D-5) Not required

Please choose one of the following media for receiving daily trade summary:

- Fax Office fax no.

- Additional fax no. for duplicate trade summary

- Email Primary email address

- Additional email address for duplicate trade summary

BOCIS reserves the right from time to time and without prior notification to suspend or discontinue the delivery of daily trade summary resulting from the breakdown or failure of the transmission or communications facilities.

Section E: Applicant's declaration**E-1 Applicant's acknowledgement and confirmation****Prior to signing this account application form, please:**

- note that all transactions to be concluded with or through BOCIS shall be subject to the terms and conditions of the Customer Agreement (as defined below); and
- read the Customer Agreement (including Risk Disclosure Statement) which you have been provided in a language (English or Chinese) of your choice carefully, ask questions and take independent advice if you so wish.

(For new customer)

I/We acknowledge my/our receipt of the Customer Agreement of BOCIS (including all sections thereunder and appendices thereto), the Risk Disclosure Statement, the Customer Information Statement, the Account Application Form and any other agreements or documents related to the opening of the account(s), whenever applicable (collectively the "Customer Agreement") and confirm that the Customer Agreement (including Risk Disclosure Statement) were provided to me/us in a language (English or Chinese) of my/our choice and I/we was/were invited to read the Customer Agreement (including Risk Disclosure Statement) carefully, ask questions and take independent advice if I/we so wish. I/We confirm that I/we have carefully read, fully understood and accepted all the terms and provisions contained in the Customer Agreement (including Risk Disclosure Statement) and shall be bound by the Customer Agreement (including Risk Disclosure Statement) as it may be amended from time to time.

(For existing customer)

I/We confirm that I/we have the Customer Agreement of BOCIS (including all sections thereunder and appendices thereto), the Risk Disclosure Statement, the Customer Information Statement, the Account Application Form and any other agreements or documents related to the opening of the account(s), whenever applicable (collectively the "Customer Agreement") which I/we have previously been provided with and do not require another copy of the Customer Agreement (including Risk Disclosure Statement). I/We understand that I am/we are entitled to request a further copy of the Customer Agreement (including Risk Disclosure Statement) at any time from BOCIS and/or I/we can access the Customer Agreement (including Risk Disclosure Statement) at any time on the website of BOCIS. I/We confirm that the Customer Agreement (including Risk Disclosure Statement) were provided to me/us in a language (English or Chinese) of my/our choice and I was/ we were invited to read the Customer Agreement (including Risk Disclosure Statement) carefully, ask questions, and take independent advice if I/we so wish. I/We confirm that I/we have carefully read, fully understood, and accepted all the terms and provisions contained in the Customer Agreement (including Risk Disclosure Statement) and shall be bound by the Customer Agreement (including Risk Disclosure Statement) as it may be amended from time to time.

I/We further acknowledge and agree that: (a) in the event of BOCIS' default and me/us having incurred a loss as a result thereof, the liability of the Investor Compensation Fund established under the Securities and Futures Ordinance (where applicable) will be restricted to the extent provided for therein, and (b) where securities are deposited with BOCIS or purchased pursuant to the Customer Agreement and held outside Hong Kong, I/we may not be afforded equivalent protection in respect of those securities as that afforded under Hong Kong law and I/we may not have the same rights as I/we have in respect of securities held in Hong Kong.

E-2 Applicant's declaration

For the purpose of opening any new/additional account(s), I/we hereby authorize BOCIS to use the information provided by me/us in the Customer Agreement (including information previously provided by me/us for the existing account(s)) to BOCIS ("Customer Information"). I/We represent that all customer information is in all respects true, complete, and correct and that the representations in the Customer Agreement are accurate as at the date hereof. BOCIS is authorized at any time to contact any person, including but without limitations to my/our banks, broker, or any credit agency, for purposes of verifying the customer information.

I/We undertake to notify BOCIS in writing forthwith upon any changes in any such customer information. BOCIS is entitled to rely fully on any of my/our certification and confirmation contained for all purposes, unless BOCIS receives prior notice in writing of any changes thereof.

E-3 Applicant's consent to use of personal data

I/We have carefully read, fully understood and agreed to accept and be bound by the Notice and Statement Relating to the Personal Data (Privacy) Ordinance (Cap. 486 of the Laws of Hong Kong) as set out in Appendix I and II (as applicable) to the Customer Agreement. In particular,

I/We hereby consent to the use of my/our customer information for direct marketing directly related to the opening or continuation of my/our accounts with BOCIS and the provision of financial services by BOCIS to me/us.

I/We hereby consent to the use of my/our customer information for direct marketing by BOCIS, any Group Company or other carefully selected financial, insurance, telecommunications service providers of other financial, insurance or telecommunications products and/or services not directly related to the opening or continuation of my/our accounts with BOCIS and the provision of financial services by BOCIS to me/us.

(Corporate account applicant only)

Where personal data or information relating to any of our representatives (including directors, employees, agents, customers (direct or indirect) or affiliates) or any third party is provided to or held by BOCIS in the course of its business dealings with BOCIS, we undertake and represent that we have obtained the relevant consent of our representatives to enable BOCIS to use, process, deal, share or transfer such data or information in accordance with the purposes and requirements of Appendix I and II (as applicable) of the Customer Agreement and we agree that we will promptly provide evidence of such consents as and when requested by BOCIS.

E-4 Applicant's signature

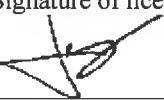
For individual / joint account

Principal account holder's signature  Signature Verified By 	Second/other account holder's signature
Print name HUNG CHIT	Print name
Date 29/12/2014	Date
For corporate account	
Signature (company seal (if any))	Print name of authorized person
	Print name of authorized person
	Date

For internal use only**Licensed person's declaration**

I hereby solemnly swear that:

- I have witnessed the signature and inspected the original identity or company establishment documents of the above-named applicant.
- The applicant has been provided with the Customer Agreement (including Risk Disclosure Statement) in the language of the applicant's choice (English or Chinese); and the applicant has been invited to read the Customer Agreement (including Risk Disclosure Statement), ask questions and take independent advice if he/she so wishes.
- I have already explained to the applicant that if he/she is currently employed by any registered institution or licensed corporation to carry on regulated activities, the applicant is required to provide BOCIS a written consent from his/her employer in support of this account application.

Signature of licensed person 	Printed name of licensed person CARME SUEY
CE No. Q06348	Date 29/12/2014

**CUSTOMER INFORMATION STATEMENT -
INDIVIDUAL / JOINT ACCOUNT**



BOCI Securities Limited ("BOCIS")
 20/F, Bank of China Tower, 1 Garden Road, Hong Kong
 1/F, Grand Millennium Plaza, 181 Queen's Road Central, Hong Kong
 CE No.: AAC298

Please complete in BLOCK letters.

TYPE OF ACCOUNT

Individual Account

Joint Account (Each of the joint account holders (other than the principal account holder) shall complete the Customer Information Statement - Joint Account Holder.)

PRINCIPAL / SOLE ACCOUNT HOLDER'S PERSONAL INFORMATION

Name in English	Name in Chinese
HUNG CHIN	熊威
Hung Chin	
Former Name / Alias in English (if any)	Former Name / Alias in Chinese (if any)
/	/
ID / Passport No.	Gender
[REDACTED]	<input checked="" type="checkbox"/> Male <input type="checkbox"/> Female
Nationality	Other Nationality (if applicable)
CHINESE	/
Date of Birth	Place of Birth
dd mm yyyy 1966	GUANGDONG
U.S. Tax Resident	U.S. Green Card Holder
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Home Phone No.	Mobile Phone No.
852-12345678	66601729
Fax No.	Contact No. (phone or fax contains U.S. Country Code)
852-12345678	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Primary Email Address: hungchin@126.com	
This email address shall be used for accounts' operation, activation of online trading service and receipt of all correspondence, including electronic statement (if applicable).	



+1+

ESR-080A-B02

Residential Address (P.O. Box not accepted)		
PLAT C 46/F BLOCK 2 THE ZENITH 258 QUEEN'S RD EAST WANCHAI HK <small>(Postal Code:)</small>		
Permanent Address (if different from above) (P.O. Box not accepted)		
 <small>(Postal Code:)</small>		
Nature of Occupancy		
<input type="checkbox"/> With Parents	<input type="checkbox"/> Owner (No mortgage)	
<input type="checkbox"/> Relative's	<input checked="" type="checkbox"/> Manged / Monthly Instalment \$ <u>20K</u>	
<input type="checkbox"/> Staff Quarters	<input type="checkbox"/> Rented / Monthly Rental \$ _____	
Employment Status		
<input type="checkbox"/> Self-employed	<input checked="" type="checkbox"/> Full-time Employed	
<input type="checkbox"/> Retired	<input type="checkbox"/> Part-time Employed	
<input type="checkbox"/> Full-time Student	<input type="checkbox"/> Not Currently Employed	
<input type="checkbox"/> Housewife	<input type="checkbox"/> Others (Please specify) _____	
Employer's Name	Nature of Business	
MaMeng Network Security Consultants Ltd.	IT	
Office Phone No.	Year(s) with Existing Employer	Position
<u>853111166601729</u>	<u>20 year</u>	<u>CEO</u>
Office Address : <u>E, 12th Floor, Lisboa Garden, Estrada de Sete Tanques, Taipa</u>		
Correspondence Address		
<input checked="" type="checkbox"/> Permanent	<input type="checkbox"/> Permanent	<input type="checkbox"/> Office
<input type="checkbox"/> Others _____		
Relationship with Joint Account Holder(s) (for joint account only)		
LICENCED / REGISTERED PERSON DECLARATION		
Are you a licensed / registered person, or an employee of a licensed corporation / registered institution (e.g. proprietor of a brokerage firm / bank) as defined under the Securities and Futures Ordinance (Cap. 571 of the Laws of Hong Kong)?		
<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes, please specify _____	
Do you have any relative(s) working in BOCI Group (which includes BOC International Holdings Limited and its subsidiaries)?		
<input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes, please specify _____	
Name	Relationship	

ULTIMATE BENEFICIAL OWNER(S) OF THE ACCOUNT	
<p>Are you the ultimate beneficial owner(s) of the Account? (This information is required under the Client Identification Rule Policy of the Securities and Futures Commission.)</p> <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No, please provide details of the ultimate beneficial owner(s).</p>	
Name in English	Name in Chinese
Former Name (alias in English, if any)	Former Name (alias in Chinese, if any)
U.D. / Passport No.	Gender <input type="checkbox"/> Male <input checked="" type="checkbox"/> Female
Nationality	Other Nationality (if applicable)
Date of Birth: dd mm yyyy	Place of Birth
U.S. Tax Resident <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	U.S. Green Card Holder <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
Country No. (Select the country you consider U.S. Country code)	
<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Address	
<p>Do you have opened any bank accounts by you with 10% or more voting rights in total of the accounts (not disclosed)?</p> <p><input checked="" type="checkbox"/> Yes <input type="checkbox"/> No, please provide details.</p>	
Account Name	Account No.
Account Name	Account No.
APPLICANT'S BANK DETAILS	
<p>Funds will be transferred to the incoming bank accounts (please enter your own handwritten information)</p>	
Bank Account (Hong Kong) <input type="checkbox"/> USD <input checked="" type="checkbox"/> HKD	
Bank Name BOC(HK)	
Account Holder's Name HUNG CHIT	Account No. 684-1
Bank Address	
Account Holder's Name	
Account No.	Currency

-3-

FORM 104-FID

Applicant's Financial Profile and Investment Experience							
(This information is required under the "Know Your Client Requirements" of the Securities and Futures Commission.)							
Income and Assets							
Estimated Annual Income (HK\$) <input type="checkbox"/> Under HK\$200,000 <input checked="" type="checkbox"/> HK\$200,000 - HK\$1,000,000 <input type="checkbox"/> HK\$1,000,001 - HK\$1,000,000 <input type="checkbox"/> HK\$1,000,001 - HK\$3,000,000 <input type="checkbox"/> Above HK\$3,000,000 <input type="checkbox"/> Other Income Source: _____			Approximate Net Asset Value (HK\$) (Total Assets - Total Liabilities) <input type="checkbox"/> Under HK\$150,000 <input type="checkbox"/> HK\$150,001 - HK\$3,000,000 <input type="checkbox"/> HK\$3,000,001 - HK\$15,000,000 <input type="checkbox"/> HK\$15,000,001 - HK\$30,000,000 <input type="checkbox"/> Above HK\$30,000,000				
Educational Background							
<input type="checkbox"/> Primary School		<input type="checkbox"/> Secondary School		<input checked="" type="checkbox"/> University or above	<input type="checkbox"/> Others _____		
Source(s) of Funds for This Investment (you may choose more than one option)							
<input type="checkbox"/> Sale of Property		<input type="checkbox"/> Savings from Earnings / Bonus		<input type="checkbox"/> Sale of Investments	<input checked="" type="checkbox"/> Personal		
<input type="checkbox"/> Return on Investments		<input type="checkbox"/> Inheritance / Gift		<input type="checkbox"/> Consumption			
<input type="checkbox"/> Others, please specify: _____							
Investment Objectives (you may choose more than one option)							
<input type="checkbox"/> Capital Preservation		<input checked="" type="checkbox"/> Building Wealth		<input type="checkbox"/> Speculation			
<input type="checkbox"/> Required Income		<input type="checkbox"/> Beat Inflation		<input type="checkbox"/> Hedging			
<input type="checkbox"/> Retirement		<input type="checkbox"/> Charitable Education Fund		<input type="checkbox"/> Others: _____			
Investment Tenure							
<input type="checkbox"/> Short Term (i.e. less than 1 year)			<input checked="" type="checkbox"/> Short to Medium Term (i.e. 1 to 5 years)				
<input type="checkbox"/> Medium Term (i.e. 6 to 10 years)			<input type="checkbox"/> Long Term (i.e. more than 10 years)				
Investment Experience							
Products	Frequency of Transactions Per Annum			Investment Experience (year)			
United Shares	<input type="checkbox"/> <20	<input checked="" type="checkbox"/> 20 - 50	<input type="checkbox"/> >50	<input type="checkbox"/> Nil	<input type="checkbox"/> <1	<input type="checkbox"/> 1-5	<input checked="" type="checkbox"/> >5
Dated Securities / Bonds	<input type="checkbox"/> <20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> >50	<input checked="" type="checkbox"/> Nil	<input type="checkbox"/> <1	<input type="checkbox"/> 1-5	<input type="checkbox"/> >5
Leveraged Foreign Exchange	<input type="checkbox"/> <20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> >50	<input checked="" type="checkbox"/> Nil	<input type="checkbox"/> <1	<input type="checkbox"/> 1-5	<input type="checkbox"/> >5
Commodities / Derivatives / Precious Metals	<input type="checkbox"/> <20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> >50	<input checked="" type="checkbox"/> Nil	<input type="checkbox"/> <1	<input type="checkbox"/> 1-5	<input type="checkbox"/> >5
OTC Structured Products							
- Principal Protected Products	<input type="checkbox"/> <20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> >50	<input checked="" type="checkbox"/> Nil	<input type="checkbox"/> <1	<input type="checkbox"/> 1-5	<input type="checkbox"/> >5

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SEC-HKSFC-P-0000366

Non-Prudential Protection Products											
Vanilla Derivatives	<input type="checkbox"/> <20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> >50	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> <1	<input type="checkbox"/> 1-5	<input type="checkbox"/> >5				
C exotic Derivatives	<input type="checkbox"/> <20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> >50	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> <1	<input type="checkbox"/> 1-5	<input type="checkbox"/> >5				
Exchange Traded Derivative Products (traded in Hong Kong or elsewhere)											
- Warrants	<input type="checkbox"/> Hong Kong <input type="checkbox"/> other exchanges (please specify)			<input type="checkbox"/> <20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> >50	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> <1	<input type="checkbox"/> 1-5	<input type="checkbox"/> >5	
- Chinese And Chinese Currency	<input type="checkbox"/> Hong Kong <input type="checkbox"/> other exchanges (please specify)			<input type="checkbox"/> <20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> >50	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> <1	<input type="checkbox"/> 1-5	<input type="checkbox"/> >5	
- Futures and Options Contracts	<input type="checkbox"/> Hong Kong <input type="checkbox"/> other exchanges (please specify)			<input type="checkbox"/> <20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> >50	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> <1	<input type="checkbox"/> 1-5	<input type="checkbox"/> >5	
- Equity Unlisted Investments / Equity Listed Investments	<input type="checkbox"/> Hong Kong <input type="checkbox"/> other exchanges (please specify)			<input type="checkbox"/> <20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> >50	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> <1	<input type="checkbox"/> 1-5	<input type="checkbox"/> >5	
- Other Products (please specify)	<input type="checkbox"/> Hong Kong <input type="checkbox"/> other exchanges (please specify)			<input type="checkbox"/> <20	<input type="checkbox"/> 20 - 50	<input type="checkbox"/> >50	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> <1	<input type="checkbox"/> 1-5	<input type="checkbox"/> >5	
Investment Funds											
Mutual Funds / Unit Trusts	<input checked="" type="checkbox"/> <20			<input type="checkbox"/> 20 - 50	<input type="checkbox"/> >50	<input type="checkbox"/> N/A	<input type="checkbox"/> <1	<input type="checkbox"/> 1-5	<input checked="" type="checkbox"/> >5		
Hedge Funds / Private Equity Funds	<input type="checkbox"/> <20			<input type="checkbox"/> 20 - 50	<input type="checkbox"/> >50	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> <1	<input type="checkbox"/> 1-5	<input type="checkbox"/> >5		
Leveraged and Securitised Exchange Traded Funds	<input type="checkbox"/> <20			<input type="checkbox"/> 20 - 50	<input type="checkbox"/> >50	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> <1	<input type="checkbox"/> 1-5	<input type="checkbox"/> >5		
Other Funds (please specify)	<input type="checkbox"/> <20			<input type="checkbox"/> 20 - 50	<input type="checkbox"/> >50	<input checked="" type="checkbox"/> N/A	<input type="checkbox"/> <1	<input type="checkbox"/> 1-5	<input type="checkbox"/> >5		
Other relevant financing information											

APPLICANT'S DECLARATION

I represent that all information contained in this Customer Information Statement is in all respects true, complete and correct. BOCIS is authorized at any time to contact any person, including but without limitations to my banks, brokers or any credit agency, for purposes of verifying the customer information contained herein.

I declare that I am not currently employed by any entity licensed or registered to carry on regulated activities as defined in the Securities and Futures Ordinance (Cap. 571 of the Laws of Hong Kong). Otherwise, I understand that I am required to provide BOCIS relevant documents from my employer (unless I cease and terminate any contract with BOCIS). I undertake to update my BOCIS if I become or cease to be employed by any entity licensed or registered to carry out regulated activities.

I undertake to notify BOCIS in writing forthwith upon any changes to any of the information contained in the Customer Information Statement. BOCIS is entitled to rely fully on any of my certification and confirmation contained herein for all purposes, unless BOCIS receives notice of any change within 90 days.

APPLICANT'S SIGNATURE

(THIS SIGNATURE WILL BE TREATED AS SPECIMEN'S SIGNATURE)

APPLICANT'S SIGNATURE

DATE

15 - 10 - 2014

ACCOUNT APPLICATION FORM	 中銀國際證券有限公司 BOCI Securities Limited
--------------------------	---

BOCI Securities Limited ("BOCI")
20/F, Bank of China Tower, 1 Garden Road, Hong Kong
18/F, Grand Millennium Plaza, 181 Queen's Road Central, Hong Kong
DE NO. : ABU2W6

For internal use only	<input checked="" type="checkbox"/> AE611
Salesman Code:	
Referral Code:	
Postcode:	
Group ID:	
Comm Code:	
Account Risk Level:	
<input checked="" type="checkbox"/> High	<input type="checkbox"/> Medium
<input type="checkbox"/> Low	

Please complete in BLOCK letters.

SECTION A GENERAL INFORMATION

Account Name

HUNG CHIN /

BROKER NO. (for tracing purpose)

/

Type of Account:

Individual Account

Joint Account - Please state the total number of joint account holders:

Corporate Account

I.D. / Passport No. of Principal Account Holder
(for individual / joint account)



Certificate of Incorporation No.
(for corporate account)



SECTION B TYPE OF SERVICE REQUIRED

I/We intend to open and maintain trading account(s) to trade the following products:

- | | |
|---|---|
| <input checked="" type="checkbox"/> Cash Securities / <input type="checkbox"/> Margin Securities | <input type="checkbox"/> Futures / Index Options / Commodity Options <input type="checkbox"/> Stock Options |
| <input type="checkbox"/> Leverage / Foreign Exchange Trading <input type="checkbox"/> Overdraft Account / Capital Investment Scheme | <input type="checkbox"/> Other _____ |

FAX/DSB-FD



SECTION C - SUBSCRIPTION OF ONLINE TRADING SERVICE	
C - 1 Online Trading Service for Securities Trading	
<input type="checkbox"/> Required (Please continue to complete the rest of C-1) <input checked="" type="checkbox"/> Not Required	
<input type="checkbox"/> We wish to use my / our existing online securities account login ID for my / our new securities trading account. <input checked="" type="checkbox"/> We wish to subscribe a new online securities account login ID for my / our new securities trading account.	
Please provide 3 choices for online account login ID (6-15 alphanumeric, no space and symbol, e.g. 123456789123)	
First Online Service User 	Second Online Service User <small>Mandatory for joint account and optional for corporate account</small>
1. USER8199	1.
2.	2.
3.	3.
C - 2 Online Trading Service for Futures and Commodities Trading	
<input type="checkbox"/> Required <input checked="" type="checkbox"/> Not Required	
C - 3 Online Leveraged Foreign Exchange Trading	
<input type="checkbox"/> Required <input checked="" type="checkbox"/> Not Required	

SECTION D - OPERATION OF ACCOUNT	
Existing customer is not required to complete this section. The function of account for new additional product(s) shall be taken as PIA setting settings.	
D - 1 Signing Arrangement (for joint account only)	
We decide that the valid document in connection with the operation (including fund withdrawal and asset transfer) of our account shall be signed in the following manner:	
<input type="checkbox"/> Singly by either account holder <input checked="" type="checkbox"/> Jointly by joint account holders	
D - 2 Corporate Communication (for joint account only)	
Do you wish to receive the corporate communication for securities held in the CCASS Depository in Hong Kong of which you are the registered underlying beneficial?	
<input type="checkbox"/> No <input checked="" type="checkbox"/> Yes, I am hereby authorise BOCIS to release my / our name and corresponding address to the relevant Issuer(s) and / or the relevant registrars of the listed Issuers. I / We undertake to advise BOCIS immediately of any change of such particulars and acknowledge that the sole duty of BOCIS in this respect is to disclose my / our name and correspondence address to the relevant issuer.	

- 2 -

ESR 060 F/02

Confidential pursuant to Securities Exchange Act s.24(d).

SEC-HKSC-P-0000370

*Chinese by "First Online Service User": number

D - 3 Delivery of Statements
<p>Please choose one of the following media for the delivery of statements:</p> <p><input checked="" type="checkbox"/> Electronic Statement* Daily and monthly electronic statements will be sent to your primary email address.</p> <p><input type="checkbox"/> Additional email address for duplicate statements for corporate account only <input type="text"/></p> <p><small>ROCS reserves the right from time to time and without prior notice to suspend or discontinue the delivery of statements by email resulting from the breakdown or failure of the transmission or communication facilities. Statements will be sent to your legal correspondence address provided by ROCIS.</small></p> <p><input type="checkbox"/> Physical Statement Daily and monthly statements will be sent to your correspondence address by mail. The joint account statements will be sent to the correspondence address of the principal account holder.</p> <p><input type="checkbox"/> Correspondence <input type="checkbox"/> Primary <input checked="" type="checkbox"/> Secondary</p>
D - 4 SMS Instant Trade Confirmation
<p><input type="checkbox"/> Required</p> <p><input checked="" type="checkbox"/> We want to receive execution results of the securities and/or futures and commodities orders via mobile phone's Short Message Service (Instant Trade Confirmation). Please send instant trade confirmation to the registered Hong Kong phone number of the principal account holder.</p> <p><input type="checkbox"/> Securities Account: (Applicant has to subscribe online trading service for securities trading (Section C-1) simultaneously)</p> <p><input type="checkbox"/> Futures/Commodities/ Stock Options Account(s)</p> <p><small>Instant Trade Confirmation service is only available to Hong Kong resident (adults only), subject to service availability. If service provider ROCIS reserves the right to deny service, your ability to request or receive the delivery of instant Trade Confirmation service from the distributor is liable of the justification or compensation required.</small></p> <p><input type="checkbox"/> Not Required</p>
D - 5 Daily Trade Summary (for corporate account only)
<p><input type="checkbox"/> Required (Please continue to complete the rest of D-5) <input checked="" type="checkbox"/> Not Required</p> <p>Please choose one of the following media for receiving daily trade summary:</p> <p><input type="checkbox"/> Fax <input checked="" type="checkbox"/> Once per day <input type="checkbox"/> Additional fax for duplicate trade summary <input type="text"/></p> <p><input type="checkbox"/> Email <input checked="" type="checkbox"/> Primary email address <input type="checkbox"/> Additional email address for duplicate trade summary <input type="text"/></p> <p><small>ROCIS reserves the right from time to time and without prior notice to suspend or discontinue the delivery of daily trade summary resulting from the breakdown or failure of the transmission or communication facilities.</small></p>

SECTION E APPLICANT'S DECLARATION**E - 1 Applicant's Acknowledgment and Confirmation**

Prior to signing this Account Application Form, please:

- note that all transactions to be conducted will be through BOOGIE and be subject to the terms and conditions of the Customer Agreement (as defined below); and
- read the customer agreement (including this Customer Agreement) which you have been provided in a language (English or Chinese) of your choice carefully, ask questions and take independent advice if you so wish.

(For New Customer)

MH We acknowledge that / we consent of the Customer Agreement of BOOGIE (including all Schedules thereto and Appendices thereto), the Risk Disclosure Statement, the Customer Information Statement, the Account Application Form and any other agreements or documents related to the opening of the account(s), whenever applicable (collectively the "Customer Agreement") and confirm that the Customer Agreement (including Risk Disclosure Statement) was presented to me / us in a language (English or Chinese) of my / our choice and I / we was / were invited to read the Customer Agreement (including Risk Disclosure Statement) carefully, ask questions and take independent advice if I / we so wish. II We confirm that I / we have carefully read, fully understood and accepted all the terms and provisions contained in the Customer Agreement (including Risk Disclosure Statement) and shall be bound by the Customer Agreement (including Risk Disclosure Statement) as it may be amended from time to time.

FOR EXISTING CUSTOMER

If we confirm that I / we have the Customer Agreement of BOOGIE (including all Schedules thereto and Appendices thereto), the Risk Disclosure Statement, the Customer Information Statement, the Account Application Form and any other agreements or documents related to the opening of the account(s), whenever applicable (collectively the "Customer Agreement") which I / we have previously been provided with and do not require any copy of the Customer Agreement (including Risk Disclosure Statement), I / we understand that I / we are entitled to request a further copy of the Customer Agreement (including Risk Disclosure Statement) at any time from BOOGIE, and I / we can receive the Customer Agreement (including Risk Disclosure Statement) in any form from BOOGIE, and I / we can receive the Customer Agreement (including Risk Disclosure Statement) in any language (English or Chinese) of my / our choice and I / we was / were invited to read the Customer Agreement (including Risk Disclosure Statement) carefully, ask questions and take independent advice if I / we so wish. II We confirm that I / we have carefully read, fully understood and accepted all the terms and provisions contained in the Customer Agreement (including Risk Disclosure Statement) and shall be bound by the Customer Agreement (including Risk Disclosure Statement) as it may be amended from time to time.

I / we further acknowledge and agree that (a) in the event of BOOGIE default and me / we having incurred a loss as a result thereof, the liability of the Investor Compensation Fund established under the Securities and Futures Ordinance (where applicable) will be limited to the amount provided for therein, and (b) where securities are deposited with BOOGIE or purchased pursuant to the Customer Agreement and held outside Hong Kong, I / we may not be afforded equivalent protection in respect of those securities as would be afforded under Hong Kong law and I / we may not have the same rights as I / we have in respect of those securities held in Hong Kong.

E - 2 Applicant's Declaration

For the purpose of opening any new transactional Account(s), I / we hereby authorize BOOGIE to use the information provided by me / us in the Customer Agreement (including information (hereinafter referred to me / us for the existing Account(s)) to BOOGIE ("Customer Information"). I / we represent that all Customer Information is in all respects true, complete and accurate and that the representations in the Customer Agreement are believed as of the date hereof. BOOGIE is authorized to rely on it to contact any person, including but without limitation to my / our broker, brokers or any credit agency, for purposes of verifying the Customer Information.

I / we acknowledge to notify BOOGIE in writing forthwith upon any changes in any such Customer Information. Such changes may relate to any change in my / our contact(s) or my / our circumstances or for purposes of updating security details prior to any change thereto.

E - 3 Applicant's Consent to Use of Personal Data

I / we have carefully read, fully understood and agreed to accept and be bound by the Notice and Statement Relating to the Personal Data (Privacy) Statement (Cap. 409 of the Laws of Hong Kong) as set out in Appendix I and I / we is / are party to the Customer Agreement, in general.

- I / we hereby consent to the use of my / our Customer Information for direct marketing directly related to the opening or continuation of my / our accounts with BOOGIE and the provision of financial services by BOOGIE to me / us.
- I / we hereby consent to the use of my / our Customer Information for direct marketing by BOOGIE, any third party or other entity offering financial, insurance, telecommunication service providers or other financial, insurance or telecommunications products and / or services not directly related to the opening or continuation of my / our account with BOOGIE and the provision of financial services by BOOGIE to me / us.

Customer Account Holders' Consent													
1.1 Where personal data or information relating to any of our representatives (including directors, employees, agents, customers (direct or indirect) or affiliates) or any third party is provided to us or held by BOCIS in the course of its business dealings with BOCIS, we undertake and represent that we have obtained the relevant consent of our representative to provide BOCIS to use, process, deal with or transfer such data or information in accordance with the purposes and requirements of Appendix I and II (as applicable) of the Customer Agreement and we agree that we will promptly provide evidence of such consents as and when requested by BOCIS.													
E-4 Applicant's Signature													
<p><i>For Individual / Joint Account</i></p> <table border="1"> <tr> <td style="width: 50%;">Principal Account Holder's Signature </td> <td style="width: 50%;">Second / Other Account Holder's Signature </td> </tr> <tr> <td>Print Name Hung Chin</td> <td>Print Name</td> </tr> <tr> <td>Date 15/10/2014</td> <td>Date</td> </tr> </table> <p><i>For Corporate Account</i></p> <table border="1"> <tr> <td>Signature (company stamp if any) </td> <td>Print Name of Authorized Person </td> </tr> <tr> <td></td> <td>Print Name of Authorized Person (if BNP) </td> </tr> <tr> <td></td> <td>Date 15/10</td> </tr> </table>		Principal Account Holder's Signature 	Second / Other Account Holder's Signature 	Print Name Hung Chin	Print Name	Date 15/10/2014	Date	Signature (company stamp if any) 	Print Name of Authorized Person 		Print Name of Authorized Person (if BNP) 		Date 15/10
Principal Account Holder's Signature 	Second / Other Account Holder's Signature 												
Print Name Hung Chin	Print Name												
Date 15/10/2014	Date												
Signature (company stamp if any) 	Print Name of Authorized Person 												
	Print Name of Authorized Person (if BNP) 												
	Date 15/10												
FOR INTERNAL USE ONLY													
<p>Licensed Person's Declaration</p> <p>I hereby solemnly swear that:</p> <p><input checked="" type="checkbox"/> I have witnessed the signature and inspected the digital identity of company representative mentioned in the above-named applicant.</p> <p><input checked="" type="checkbox"/> The applicant has been provided with the Customer Agreement (including Risk Disclosure Statement) in the language of the applicant's choice (English or Chinese); and the applicant has been invited to read the Customer Agreement (including Risk Disclosure Statement), ask questions and take independent advice if he/she so wished.</p> <p><input checked="" type="checkbox"/> I have already explained to the applicant that if he / she is currently employed by any registered institution or licensed corporation to carry on regulated activities, the applicant is required to provide BOCIS a written consent from his/her employer in respect of the account application.</p>													
<p>Signature of Licensed Person: </p> <p>Print Name of Licensed Person BARRY SHUM</p> <p>Date 15 - 10 - 2014</p>													
CC No. AOL 346													

Confidential pursuant to Securities Exchange Act Rule 24(d).

SEC-HKSEC-P-0000373



CERTIFICATION

Schreiber Translations, Inc.

51 Monroe Street

Suite 101

Rockville, MD 20850

P: 301.424.7737

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This is to certify that the attached English language documents are true and accurate translations of the original Chinese language documents to the best of our knowledge and belief. The documents are identified as below:

"20141031_2_8176696-1000_1_1_1415142843210",	"20141130_2_8176696-
1000_1_1_1417556783307",	"20141231_2_8176696-1000_1_1_1420472457188",
"20150131_2_8176696-1000_1_1_1422921400287",	"20150131_2_8176696-
2000_1_1_1422921400849",	"20150228_2_8176696-2000_1_1_1425319776925",
"20150331_2_8176696-2000_1_1_1428160938182",	"20150430_2_8176696-
2000_1_1_1430773110781",	"20150531_2_8176696-2000_1_1_1433203014065",
"20150831_2_8176696-2000_1_1_1441342950659",	"SEC-HKSFC-P-0000353", "SEC-
HKSFC-P-0000358", "SEC-HKSFC-P-0000363", "SEC-HKSFC-P-0000369", "SEC-HKSFC-P-	
0000374", "20150630_2_8176696-2000_1_1_1436374398049", "20150731_2_8176696-	
2000_1_1_1438663193411",	"20150930_2_8176696-2000_1_1_1443924586959",
"20151031_2_8176696-2000_1_1_1446499570183",	"20151130_2_8176696-
2000_1_1_1449219975285", "20151231_2_8176696-2000_1_1_1451873835587"	

Executed this 13th day
of April, 2016

Schreiber Translations, Inc.
51 Monroe Street, Suite 101
Rockville, Maryland 20850
ATA Member 212207

Schreiber Translations, Inc. uses all available measures to ensure the accuracy of each translation, but shall not be held liable for damages due to error or negligence in translation or transcription.